

**FY14 Procurement Post Review Report for INDIA Secondary Education Project-
Maharashtra Component (P118445) Cr. No. 5088**

Project Information	
Project Name	INDIA Secondary Education Project (P118445) Cr50880
Procurement Risk Rating and Review Percentage	High (20.00%)
Resp. Bank Unit / Task Team Leader (TL)	SASHD / Toby Linden
Procurement Specialist (PS)	SATYA PANDA

Post Review Information	
Post Review Conducted by	Global Procurement Consultants Limited
PPR Dates	24-Feb-14 to 26-Feb-14 (separate from SPN mission)
Total contracts awarded subject to PPR since last PPR	48 contracts awarded (between 01-Jul-12 to 30-Jun-13)
No. Contracts to Review	10
Percentage of Contracts Reviewed	25% (12 reviewed out of 48 awarded)
No. of Physical Inspections	0

Post Review Status	
Date Draft Report Completed	1-Apr-14
Date Borrower's Factual Corrections and Explanations Received	
Date TTL Input Received (if any)	
Date CPC Clearance	
Date TTL Sent Finalized Report to Borrower	
Date Submitted in Operations Portal	

1. Executive Summary

[brief description of post review objective, key findings/issues, and recommendations]

1.1 Objective

- Verify the procurement and contracting procedures and processes followed for the contracts in the representative sample, and, as appropriate, identify noncompliance with the Loan, Credit or Trust Fund Agreement, the Procurement Plan, Guidelines, etc.; and , inappropriate practices by the Implementing Agency (IA) or other parties;
- Verify the physical completion and existence of the goods and works and, where applicable, non-consulting services resulting in a physical output, which have been procured under the contracts;
- Review and evaluate the contract management practices of the IA within the context of the representative sample of contracts;
- Review and evaluate the record keeping and file management arrangements of the IA
- Recommend actions to correct the identified deficiencies.

1.2 Key Findings / Issues

10 out of the 12 contracts reviewed were noted to be non-compliant to the applicable procurement procedures. Weaknesses were noted in various aspects of procurement such as understanding of the procedures, staffing structure, and record keeping in addition to concerns in governance issues. These weaknesses are discussed in detail in the paragraphs below and Actions sheet of the report. Specific key findings based on the review of contracts as per the selected sample are given in the table below. In addition, the Procurement Performance Indicators have been provided in **Annex 1**. The procurement capacity of the staff at the RMSA SIS Maharashtra as well as at the district level appears to be weak. A checklist on procurement systemic issues and controls which was given to the SIS for completion and discussion was not returned. The RMSA SIS primarily depends on the SSA human resource for all its procurement needs. Since the procurement requirements under SSA and RMSA differ, concerned staff needs appropriate orientation in applicable procurement procedures for RMSA.

Contract Ref.	Contract Description	Deviations
Review 1	Construction of 4 Additional Classroom at N P Kanistha Mahavidyalaya, Chikhali, Dist Buldana	As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual as follows: 1) the Standard Bidding Documents (placed on RMSA website) for NCB of RMSA shall be adopted/ adapted for bidding; 2) invitations to bid shall be advertised in newspapers and at least one widely circulated national daily newspaper, at least 30 days prior to the deadline for the submission of bids; 3) no special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given state [read in conjunction with para 8.55(b)] 4) with regard to price negotiation with the lowest bidder, the CVC guidelines will be followed.

		<p>The bid document provided for exemption from submission of bid security in accordance with GoM provisions which are not in line with the provisions of the FM&P Manual</p> <p>The IFB stipulates that the bid price should be within the estimated cost</p> <p>The contract was awarded after the expiry of bid validity period</p>
Review 2	Construction of 5 Additional Classrooms at Z P High School, Matargaon, Shegaon	<p>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p> <p>The IFB stipulates that the bid price should be within the estimated cost</p> <p>The contract was awarded after the expiry of bid validity period</p>
Review 3	Construction of 2 Additional Classrooms at Z P High School Bhadravati; 3 additional classrooms at Z P High School Rajura; and 2 classrooms at N P Telugu High School Lalpet	<p>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering through e-tendering system was adopted, it could not be ascertained whether all requirements of NCB were complied in accordance with the provisions of the FM&P Manual.</p> <p>The bid document provided for exemption from submission of bid security in accordance with GoM provisions which are not in line with the provisions of the FM&P Manual</p>
Review 4	Construction of 2 Additional Classrooms at ZPHS Loha, Tq. Loha, Dist Nanded	<p>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p>
Review 5	Construction of 2 Additional Classrooms at ZPH Barad, Tq Mudkhed, Dist Nanded	<p>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p>
Review 6	Construction of 2 Additional Classrooms at ZPH Dharmabad, Tq Dharmabad, Dist Nanded	
Review 7	Construction of 2 Additional Classrooms at ZPH Katkalamba, Tq	

	Kandhar, Dist Nanded	
Review 8	Construction of 2 Additional Classrooms at ZPH Petwadaj, Tq Kamekar, Dist Nanded	<p>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p> <p>Price was negotiated and reduced from 10% above estimated cost to be equal to the estimated cost. Justification for price negotiation and whether in accordance with the CVC guidelines was not recorded</p>
Review 9	Construction of 4 Additional Classrooms at ZPH Malakoli, Tq Loha, Dist Nanded	<p>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p> <p>Price was negotiated and reduced from 1% above estimated cost to be equal to the estimated cost. Justification for price negotiation and whether in accordance with the CVC guidelines was not recorded</p>
Review 10	Construction of 2 Additional Classrooms at ZPH Vishnupuri, Tq & Dist Nanded	<p>As per the FMP, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p> <p>Price was negotiated and reduced from 8.21% above estimated cost to be equal to the estimated cost. Justification for price negotiation and whether in accordance with the CVC guidelines was not recorded</p>

1.3 Recommendations

- a) Procurement provisions with respect to Preparation of Procurement Plan and Open Tendering (NCB) as per the FMP Manual should be adhered to.
- b) Although procurement is through e-tendering system, the file should contain the necessary approvals, the bid document as issued, and record of all important stages in procurement process such bid submission and opening of technical bids, evaluation results of technical bids, bid prices quoted, etc.
- c) The use of GoM B1 form of bid document may be reviewed so that the bidding document used is consistent with the Bank's Procurement Guidelines and the FMP Manual. The suitability of percentage rate system of bidding may also be reviewed as against item rate bidding
- d) No class of bidders should be permitted any preference or exemptions in any terms and conditions
- e) Price negotiations, even with the L1 bidder, should be avoided
- f) District level follow up of SMDCs needs to be intensified so that works are completed and fund utilizations are submitted in a timely manner

- g) For non-NCB procurement which would be construed as Shopping, at least 3 bids/ quotations should be obtained subject to the estimated contract cost being within the threshold for Shopping
- h) Supervision of works at the district level needs to be intensified so that works are completed in a timely manner
- i) The list of contracts needs to be prepared with care and should include only those packages that have been awarded
- j) The state of Maharashtra needs to assess as to whether the project structures as per the agreed covenants are in place, particularly with respect to procurement and take urgent and appropriate steps to augment the procurement capacity of the project
- k) All records pertaining to the procurement process up to the contract signing should be available in the same file. Such file shall also include information on/ copies of bills and payment and extensions/ amendments to contract

2. Discussion on the Selection of the Contract Samples to be Reviewed and List of Contracts Reviewed

[brief description of the selection of the contract sample and summary list of the contracts reviewed, including procurement category, procurement method, contract number, contract date, short contract description, name of contracting agency, name of contractor/supplier/consultant, contract amount]

Out of the **48** contracts shown to have been procured during the period July 1, 2012 and June 30, 2013, **18** contracts were selected for review with the concurrence of the Bank DPS. The selected sample was approved by the Bank's DPS. However, during the review, it was noted that Buldana district produced only 2 out of the 4 contracts since the other 2 contracts were informed to be as per plan only but not awarded. Similarly, in Nanded district 2 SMDC contracts were only as per plan but not awarded. All 3 contracts shown under Chandrapur district were actually under a single contract. Therefore, only **12** contracts could be reviewed. The list of contracts actually reviewed is available in the Review Summary sheet of the report.

3. Findings on the Review of Procurement Processes

Provide a brief description of findings on procurement processes, including procurement planning, publications, bidding, evaluation, and awards – see also Contract Review Sheets

No.	Observation	Review Ref
1	Planning	
i)	Procurement Plan as prescribed in the FMP Manual was not prepared.	-
2	Publication	
i)	IFB for NCB was not published in at least one widely circulated national daily newspaper in accordance with the provisions of the FMP Manual. IFB was published only in local newspapers	Review 1 to 10
ii)	At least 30 days was not provided from the publication of IFB and the deadline for submission of bids in accordance with the provisions of the FMP Manual	Review 1 to 10
3	Bidding	
i)	Standard Bidding Document (SBD) for NCB of RMSA, if any, was not used in accordance with the provisions of the FMP Manual. "B1" format of tender document of the GoM was used following a percentage rate system of bidding	Review 1 to 10

ii)	Exemption in submission of bid security was allowed for certain category of bidders as per the prevalent practice in GoM bidding which is a deviation to the provisions of the FMP Manual	Review 3,
iii)	IFB in case of Buldana district stipulated that bids quoting above the estimated price shall not be considered	Review 1 and 2
4	Bid Opening, Evaluation and Award of Contract	
i)	Price negotiations were carried out with the L1 bidder in deviation to the provisions in the FMP Manual	Review 8 to 10
ii)	Contract was awarded after expiry of bid validity period	Review 1, 2

4. Findings on the Review of Contract Administration

Provide a brief description of findings on contract administration, including implementation, payments, and compliance – see also *Contract Review Sheets*

No.	Observation	Review Ref
1	Implementation	
i)	Work execution is delayed	Review 5
ii)	Work completion has been delayed and the contract period has expired. No extension of time has been issued	Review 3, 4, 6, 7, 8, 9, 11, 12
2	Payment	
i)	Payment dates were not available	Review 7 to 10
3	Other	
i)	Record keeping was noted to be poor	Review 3

5. Indication of Possible Governance Issues

Provide a brief description of any findings on red flags that could lead to possible fraud or corruption related to the procurement processes, contract administration, or any other related issues at contract, project, sector, or country level]

Indicators of F & C were noted in the following contracts:

Contract Ref.	Contract Description	Deviations
Review 2	Construction of 5 Additional Classrooms at Z P High School, Matargaon, Shegaon	DD for bid security for all three bidders bear consecutive serial numbers
Review 3	Construction of 2 Additional Classrooms at Z P High School Bhadravati; 3 additional classrooms at Z P High School Rajura; and 2 classrooms at N P Telugu High School Lalpet, District Chandrapur	DDs submitted as bid security by the unsuccessful bidders bear serial numbers in close proximity

Review 4	Construction of 2 Additional Classrooms at ZPHS Loha, Tq. Loha, Dist Nanded	DDs for bid document cost and bid security for all 3 bidder bear consecutive serial numbers
Review 5	Construction of 2 Additional Classrooms at ZPH Barad, Tq Mudkhed, Dist Nanded	DD numbers of L1 and L3 bidders for purchase of tender documents are in sequential order
Review 7	Construction of 2 Additional Classrooms at ZPH Katkalamba, Tq Kandhar, Dist Nanded	Bid cost DD from 2 bidders other than the successful bidder bear sequential serial numbers
Review 9	Construction of 4 Additional Classrooms at ZPH Malakoli, Tq Loha, Dist Nanded	DDs for tender cost and bid security for all 3 bidder bear consecutive serial numbers
Review 10	Construction of 2 Additional Classrooms at ZPH Vishnupuri, Tq & Dist Nanded	Bid document cost DD for all 3 bidders bear consecutive serial numbers while the bid security DD for L2 and L3 bidders bear consecutive serial numbers

6. Progress of Implementation of Recommendations in the Last Post Review

[brief description of the progress with regard to the recommendations and actions submitted in the last post review]

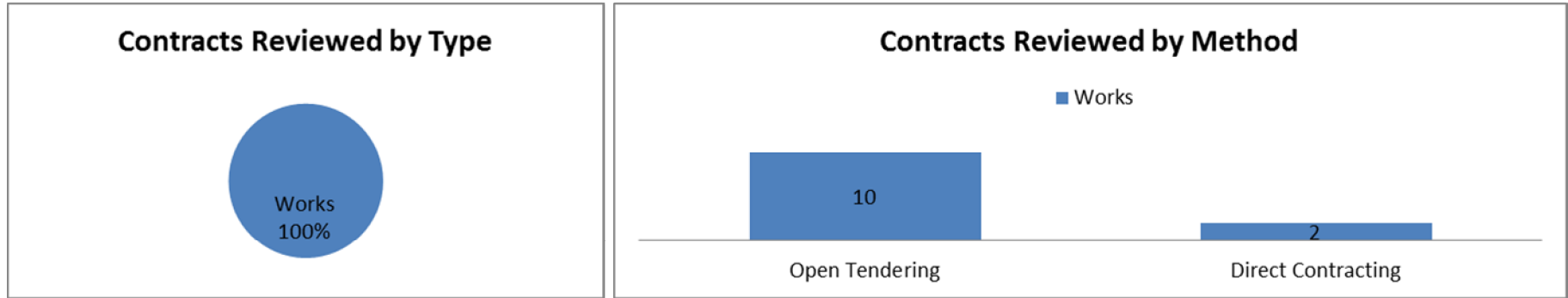
This is the first PPR for the Maharashtra component of the Project.

ANNEX 1 – Procurement Performance Indicators

No	Indicator Name	Indicator	Measured by	Result
1	Advertisement of bids and publication of awards	Transparency and openness of system	Number of tenders (%) for which bid invitation and contract awarded results are publicly advertised <i>(Satisfactory: 95% or more)</i>	Unsatisfactory
2	Time for preparation of bids	Real Opportunity for bidders to submit bids	Number of days between invitation to bid and bid opening <i>(Satisfactory: 21 days or more)</i>	Unsatisfactory
3	Time for Bid evaluation	Efficiency of bidding process	Number of days between bid opening and publication of award <i>(Satisfactory: 90 days or less)</i>	Unsatisfactory
4	Bidder participation	Level of confidence by private sector in the process	Number of bidders submitting bids in each tendering process <i>(Satisfactory: 5 bids or more)</i>	Unsatisfactory
5	Method of procurement used	Level of competition	Number of bidding processes using a method less competitive than the process recommended for the estimated contract amount <i>(Satisfactory 1% or less)</i>	Unsatisfactory
6	Direct contracting/Single source selection	Transparency and level of competition	Percent of contracts (by number and value) awarded on a sole source/DC basis <i>(Satisfactory: 10% or less of number of contracts and 5% or less of total value of contracts)</i>	Satisfactory
7	Rebidding	Quality of bidding process	Number (%) of bid process going for rebidding <i>(Satisfactory: 5% or less)</i>	Satisfactory
8	Process Cancelled	Quality of bidding process	Number (%) of bid process declared null before contract signature <i>(Satisfactory: 5% or less)</i>	Satisfactory
9	Number of protests	Quality and fairness of process	Number of protests posted and the number of bids submitted <i>(Satisfactory: not less than 10% and not more than 50%)</i>	Not applicable

No	Indicator Name	Indicator	Measured by	Result
10	Time to answer protests	Efficiency and fairness of protest system	Number of days between submission and final response to protests <i>(Satisfactory: 21 days or less)</i>	Not applicable
11	Protest results	Effectiveness of protest system	Number (%) of contracts with award recommendation modified because of a protest <i>(Satisfactory: 5% or less)</i>	Not applicable
12	Late payments	Quality and consistency of payment process	Number (%) of payments made more than 45 days late <i>(Satisfactory: 10% or less)</i>	Satisfactory
13	Procurement Staff availability, capacity and tenure	Availability and quality of staff	Period for which trained staff is available. <i>(Satisfactory: Till completion of all procurement activities)</i>	Unsatisfactory
14	Price increase	Quality of bidding and contract management	Percentage increase of final contract amount due to change and amendments <i>(Satisfactory: 15% or less)</i>	Satisfactory

Summary of Reviewed Contracts



Review No.	Description	Awardee	Amount (USD equiv)	Proc Type	Proc Method	Date of Award	No. Deviations (Major/Minor)	Compliance
1	Construction of 4 Additional Classroom at N P Kanistha Mahavidyalaya, Chikhali, Dist Buldana	Sh. K M Ingale, Lonar, Dist Buldana, India	\$51,777	Works	Open Tendering	11/2/2013	5/1	No
2	Construction of 5 Additional Classrooms at Z P High School, Matargaon, Shegaon	Sh. M D Bhagdevani, Khamgaon, District Buldana, India	\$64,709	Works	Open Tendering	11/2/2013	2/2	No
3	Construction of 2 Additional Classrooms at Z P High School Bhadravati; 3 additional classrooms at Z P High School Rajura; and 2 classrooms at N P Telugu High School Lalpet, District Chandrapur	Sh. Sunil Gaddemwar, Chandrapur, India	\$61,809	Works	Open Tendering	3/6/2013	3/1	No

4	Construction of 2 Additional Classrooms at ZPHS Loha, Tq. Loha, Dist Nanded	M/s Anant Krupa Construction, Loha, Nanded, India	\$25,719	Works	Open Tendering	7/6/2013	1/1	No
5	Construction of 2 Additional Classrooms at ZPH Barad, Tq Mudkhed, Dist Nanded	M/s Jogdand Construction, Nanded, India	\$25,719	Works	Open Tendering	6/10/2013	2/1	No
6	Construction of 2 Additional Classrooms at ZPH Dharmabad, Tq Dharmabad, Dist Nanded	M/s Jagdamba Majur Cooperative Society, Dharmabad, Nanded, India	\$25,719	Works	Open Tendering	7/15/2013	2/1	No
7	Construction of 2 Additional Classrooms at ZPH Katkalamba, Tq Kandhar, Dist Nanded	M/s Sai Construction , Kandhar, Dist Nanded, India	\$25,719	Works	Open Tendering	6/10/2013	2/1	No
8	Construction of 2 Additional Classrooms at ZPH Petwadaj, Tq Kamekar, Dist Nanded	Sh. P K Jamkar, Nanded, India	\$25,719	Works	Open Tendering	6/10/2013	3/1	No
9	Construction of 4 Additional Classrooms at ZPH Malakoli, Tq Loha, Dist Nanded	Sh. Bakwad Anandrao Aralak, Naigaon, Dist Nanded, India	\$51,390	Works	Open Tendering	6/10/2013	4/0	No
10	Construction of 2 Additional Classrooms at ZPH Vishnupuri, Tq & Dist Nanded	Sh. Shrikant B Mehakarkar, Nanded, India	\$25,719	Works	Open Tendering	6/10/2013	2/1	No
11	Construction of 1 Additional Classroom at Govt. Ashram School, Jaldhara, Tq Kinwat, Dist Nanded	SMDC, Govt. Ashram School, Jaldhara, Tq Kinwat, Dist Nanded, India	\$16,327	Works	Direct Contracting	8/7/2013	0/2	Yes
12	Construction of 1 Science Lab at Govt. Ashram School at Bodhadi, Tq Kinwat, Dist. Nanded	SMDC, Govt. Ashram School, Bodhadi, Tq Kinwat, Dist. Nanded, India	\$16,327	Works	Direct Contracting	12/11/2013	0/2	Yes

Post Review Actions

Procurement Process Risk Rating	High
Contract Administration Risk Rating	High

TO BE COMPLETED BY REVIEWER				TO BE COMPLETED BY BORROWER AGENCY			
No	Reviewer Observation/Finding	Reviewer Recommendation	Category	Action	Responsible Person	Est. Completion Date	Actual Completion Date
1	The record keeping has been generally lacking with records in custody of multiple officials at the district level. For instance, it was given to understand that payment related documents were with the accounts and letters/ correspondence including extension of time, etc were with the Education Officer or the Chief Executive Officer of the district.	All records pertaining to the procurement process up to the contract signing should be available in the same file. Such file shall also include information on/ copies of bills and payment and extensions/ amendments to contract	Other	Lapses are a result of the staff shortage at the district level Recommendation noted	DPCs	Immediate	
2	Procurement Plan was not prepared as required in the FMP Manual	Procurement Plan as prescribed in the FMP needs to be prepared and kept updated	Procurement Process	Noted	SIS/ DPCs	Immediate	
3	As per the FMP manual, works packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual	Procurement provisions with respect to Open Tendering (NCB) as per the FMP Manual should be adhered to	Procurement Process	Noted	DPCs	Immediate	

4	In the case of procurement through e-tendering system, no records were available in the file pertaining to important stages in the procurement cycle such as bid opening, results of technical evaluation, summary of bid prices, etc. The bid document was also not available for review. Access to the contracts under reference in the e-procurement system was not available during review	Although procurement is through e-tendering system, the file should contain the necessary approvals, the bid document as issued, and record of all important stages in procurement process such as bid submission and opening of technical bids, evaluation results of technical bids, bid prices quoted, etc	Procurement Process	Lapses have occurred due to ignorance of the field level staff. Recommendation noted	DPCs	Immediate	
5	For procurement through "Open Tender" method (non-NCB), a manual percentage rate system of bidding is adopted using "B1" format of bid document of the Govt. of Maharashtra (GoM). The B1 form of tender document is not fully compatible with the Bank's Procurement Guidelines/ FM&P Manual. For instance, the document allows for exemption in submitting bid security for certain class of bidders as allowed by the GoM	The use of GoM B1 form of bid document may be reviewed so that the bidding document used is consistent with the Bank's Procurement Guidelines and the FMP Manual. The suitability of percentage rate system of bidding may also be reviewed as against item rate bidding	Procurement Process	Noted	SIS	Immediate	
6	Instances were noted wherein the successful bidder was exempted from submission of bid security in accordance with the GoM procedures	No class of bidders should be permitted any preference or exemptions in any terms and conditions	Procurement Process	Noted	DPCs	Immediate	

7	Price negotiations were carried out with the L1 bidders to reduce the price to match the estimated cost without recording justification and whether in accordance with CVC guidelines. In Buldana district, the IFB published stipulated that bid above the estimated cost shall not be considered	Price negotiations, even with the L1 bidder, need to be avoided and shall be in accordance with the prevailing CVC guidelines	Procurement Process	Noted	DPCs	Immediate	
8	In cases of procurement assigned to SMDCs in Nanded district, except for the agreements between the district unit and the SMDC, no other document was available for review. It was informed that the first installment of 25% was released and its utilization was still awaited from the SMDC	District level followup of SMDCs needs to be intensified so that works are completed and fund utilizations are submitted in a timely manner	Contract Administration	Noted	DPCs	Immediate	
9	Generally, the execution and completion of works has been delayed. Files did not contain any application for and extension of time granted, if any, to the contract.	Supervision of works at the district level needs to be intensified so that works are completed in a timely manner	Contract Administration	Noted	DPCs and SIS	Immediate	

10	<p>The list of contracts as received from the project contained entries listed as per the packages approved during the fund release rather than actual contracts awarded. Even packages not awarded have been included in the list of contracts awarded. Secondly, in cases where multiple packages awarded to the same bidder under a single Work Order have been listed individually. Therefore, the list contains an inflated number of contracts.</p>	<p>The list of contracts needs to be prepared with care and should include only those packages that have been awarded</p>	Other	Noted	DPCs and SIS	Immediate	
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11	<p>One of the major reasons adversely affecting the procurement and contract management of works under RMSA in Maharashtra is the lack of dedicated RMSA staff at the district level. The project depends on the contractual technical staff appointed for the Sarva Siksha Abhiyan (SSA) for these activities who are not familiar with the applicable procurement procedures. Even at the state level, no formal training has been imparted to any official in the applicable procurement procedures. While the state level unit operates as a society, the district level units are part of the regular structure of the government with the district's Chief Executive Officer being the overall in charge of all affairs including RMSA assisted by an Education Officer (Secondary Education)</p>	<p>The state of Maharashtra needs to assess as to whether the project structures as per the agreed covenants are in place, particularly with respect to procurement and take urgent and appropriate steps to augment the procurement capacity of the project</p>	Other	Noted	SIS	Immediate	
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Thresholds and Profile of Procurement Items

Prior Review Thresholds

Procurement Type	Threshold (INR)	Additional Threshold Criteria (if any)		
Goods	-	No Prior Review provided		
Works	-	No Prior Review provided		
Consulting Firms	-	No Prior Review provided		
Individual Consultants	-	No Prior Review provided		

Max. Contract Values for Procurement Methods Below ICB and QCBS (INR)

Procurement Method	Goods	Works	Consultants services	
			Firms	Individual
NCB (Open Tendering e-Procurement)	> 5 million	> 5 million		
NCB (Open Tendering)	>2.5 million & <=5 million	>1 million & <=5 million		
Shopping (Limited Tendering)	>100,000 & <=2.5 million	Up to 1 million		
Three Member Committee	>15,000 & <=100,000			
DC	Up to 15,000			
QBS				
LCS/FBS				
CQS				
SSS				

Shortlist comprised of entirely national consultants (USD)

Comments on Applicable Prior Review Thresholds and Contract Values

Direct Contracting for Goods/ Works and SSS for Consultant Services shall be in accordance with the provisions in the FMP Manual

There will be no prior review by the Bank, but any contracts above the following limits will not be eligible for reimbursement by the Bank: Works - US\$ 10 million; Goods - US\$ 500,000; Consulting firms - US\$ 300,000; and Individual Consultants - US\$ 100,000

Review 1 of 12

Date of Review:	24-Feb-14	Contractor:	Sh. K M Ingale, Lonar, Dist Buldana, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 4 Additional Classroom at N P Kanistha Mahavidyalaya, Chikhali, Dist Buldana
Contract Amt:	INR 2,847,762.00	Contract No./Date:	3995/2013 (02-Nov-13)
Est. USD Equiv:	\$51,777	Proc. Type:	Works - Open Tendering
Compliant:	No (3 deviations)	Physical Inspection:	No

General			
Review Number			1
Date of Review			2/24/2014
Contract Description			Construction of 4 Additional Classroom at N P Kanistha Mahavidyalaya, Chikhali, Dist Buldana
Contract Number			3995/2013
Contract Date			2-Nov-13
Procurement Category			Works
Name of Contractor			Sh. K M Ingale
City of Contractor			Lonar, Dist Buldana
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$51,777
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/24/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	<i>Major</i>	<p>This package is as per the approved annual work plan for the district of Buldana</p> <p>However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p>
Number of RFQs Sent Out	0		<p>IFB was advertised in Janamadhyam dated 31 May 2013</p> <p>The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding</p>

Number of Quotations Received		3		1. Sh. K M Ingale, Lonar, Dist Buldana, India, INR 2847762 (at Estimated Rate) 2. Sh V L Asabe, Tal Mehkar, Dist Buldana, India, INR 2850894 (1.1% above) 3. Sh S B Ambhore, Tal Mehkar, Dist Buldana, India, INR 2854027 (2.2% above)
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		31-May-13		Quotation preparation period: 17 days
Quotation submission deadline (date and time)		17-Jun-13 2:00 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)		120 days	<i>Major</i>	LOA was issued dated 17 Oct 2013 (after expiry of bid validity period)
Number of Quotation Validity Period Extensions (Optional)		0		
Quotation Validity Extension Period (in days) (Optional)		0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		3-Jul-13	<i>Major</i>	The qualification envelope was opened and found that the L1 bidder (successful bidder) had not submitted the bid security (@2%) claiming exemption for educated unemployed engineer and hence the tender box was resealed pending decision on admissibility of the bid. The bid of L1 was considered to be in accordance with the procedures of GoM and the financial bids were opened dated 29 Aug 2013
Quotation Evaluation Report on file (y/n)		Yes		As per the file quoting GoM procedures, an educated unemployed engineer contractor is exempted from submitting bid security and 50% exemption is provided from submission of performance security. From the balance, 50% shall be deducted from the payments due.
If required, was Quotation Security on file (y/n/not required)		Yes	<i>Major</i>	2% of the estimated amount is required as bid security. The amount in practice is retained as part of the performance security. Additional 3% is obtained prior to issue of Work Order. However, the bidding document provides for 2% of bid cost at the time of bid submission and 2% of the contract price to be deducted from the payment due The successful bidder had not submitted the bid security claiming exemption for educated unemployed engineer
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	2,847,762		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	2,847,762		

Second Lowest Evaluated Quotation Amount	INR	2,850,894		
Awarded Contract Amount	INR	2,847,762	Minor	
(Optional) Performance Security on file (y/n)		No	Major	1% of the estimated cost was required to be paid vide letter dated 17 Oct 2013 prior to issue of the Work Order. Although no record is on file, it was informed that an FDR was submitted

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		2-Nov-13		
Actual Construction Start Date				Not recorded
Completion Period as per Awarded Contract (in days)		365 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 02-Nov-14)				In progress
Advance Payment Amount	INR	0		
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		25%		The Measurement Book has recorded a work done amount of INR 723,663
Contract Amount Paid as of Date of PPR	INR	0		
Average Period from Payment Request to Payment Made (in days)				Not applicable
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)		Not Required		
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<p><i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i></p> <p><i>The bid document provided for exemption from submission of bid security in accordance with GoM provisions which are not in line with the provisions of the FM&P Manual</i></p> <p><i>The IFB stipulates that the bid price should be within the estimated cost</i></p> <p><i>The contract was awarded after the expiry of bid validity period</i></p>
Indicators of possible F&C present?	No	

Comments
Percentage rate system of bidding is adopted.

No definite date of opening is mentioned in the IFB or the bidding document

The bid validity period of 120 days as stipulated in the bid document is on the higher side and even then there was delay in award of contract

Review 2 of 12

Date of Review:	24-Feb-14	Contractor:	Sh. M D Bhagdevani, Khamgaon, District Buldana, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 5 Additional Classrooms at Z P High School, Matargaon, Shegaon
Contract Amt:	INR 3,558,990.00	Contract No./Date:	3996/2013 (02-Nov-13)
Est. USD Equiv:	\$64,709	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	No

General			
Review Number			2
Date of Review			2/24/2014
Contract Description			Construction of 5 Additional Classrooms at Z P High School, Matargaon, Shegaon
Contract Number			3996/2013
Contract Date			2-Nov-13
Procurement Category			Works
Name of Contractor			Sh. M D Bhagdevani
City of Contractor			Khamgaon, District Buldana
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$64,709
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/24/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Buldana However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out	0		IFB was advertised in Janamadhyam dated 31 May 2013 The bidding document is based on the B1 format of the Govt of Maharashtra (GoM)

Number of Quotations Received		3		1. Sh. M D Bhagdevani, Khamgaon, District Buldana, India, INR 3558990 (0.02% below estimate) 2. Sh. S S Budhwani, Khamgaon, District Buldana, India, INR 3559346 (0.01% below estimate) 3. M/s Bharat Builders, Khamgaon, District Buldana, India, INR 3559702 (at estimated cost)
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		31-May-13		Quotation preparation period: 17 days
Quotation submission deadline (date and time)		17-Jun-13 2:00 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)		120 days	Major	LOA was issued dated 17 Oct 2013 (after expiry of bid validity period)
Number of Quotation Validity Period Extensions (Optional)		0		
Quotation Validity Extension Period (in days) (Optional)		0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		3-Jul-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	3,559,702		Awarded Contract varied from Estimate by -0.0%
Lowest Evaluated Quotation Amount	INR	3,558,990		
Second Lowest Evaluated Quotation Amount	INR	3,559,346		
Awarded Contract Amount	INR	3,558,990	Minor	
(Optional) Performance Security on file (y/n)		No	Minor	It was informed that 3% would be deducted from each bill. However, the bidding document provides for 2% deduction in addition to retaining the bid security

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		2-Nov-13		
Actual Construction Start Date				Not recorded
Completion Period as per Awarded Contract (in days)		365 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 02-Nov-14)				In progress
Advance Payment Amount	INR	0		

Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date				No measurement has been recorded. It was informed that plinth level work is in progress which is equivalent to 25%
Contract Amount Paid as of Date of PPR	INR	0		
Average Period from Payment Request to Payment Made (in days)				Not applicable
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)		Not Required		
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<p><i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i></p> <p><i>The IFB stipulates that the bid price should be within the estimated cost</i></p> <p><i>The contract was awarded after the expiry of bid validity period</i></p> <p><i>Indicators of F & C were noted as mentioned below.</i></p>
Indicators of possible F&C present?	Yes	<i>DD for bid security for all three bidders bear consecutive serial numbers</i>

Comments
<p>At least 30 days was not provided from the publication of IFB to the deadline for submission of bids in accordance with the provisions of the FMP Manual</p> <p>Percentage rate system of bidding is adopted.</p> <p>No definite date of opening is mentioned in the IFB or the bidding document</p> <p>The bid validity period of 120 days as stipulated in the bid document is on the higher side and even then there was delay in award of contract</p>

Review 3 of 12

Date of Review:	24-Feb-14	Contractor:	Sh. Sunil Gaddemwar, Chandrapur, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at Z P High School Bhadravati; 3 additional classrooms at Z P High School Rajura; and 2 classrooms at N P Telugu High School Lalpet, District Chandrapur
Contract Amt:	INR 3,399,485.00	Contract No./Date:	290/2013 (06-Mar-13)
Est. USD Equiv:	\$61,809	Proc. Type:	Works - Open Tendering
Compliant:	No (3 deviations)	Physical Inspection:	No

General			
Review Number			3
Date of Review			2/24/2014
Contract Description			Construction of 2 Additional Classrooms at Z P High School Bhadravati; 3 additional classrooms at Z P High School Rajura; and 2 classrooms at N P Telugu High School Lalpet, District Chandrapur
Contract Number			290/2013
Contract Date			6-Mar-13
Procurement Category			Works
Name of Contractor			Sh. Sunil Gaddemwar
City of Contractor			Chandrapur
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$61,809
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/24/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	Open tender through e-tendering system was adopted. However, IFB was not published in the national press and the bidding document used was not available in the file for review. Therefore it could not be ascertained if all requirements of NCB were complied with
Number of RFQs Sent Out			IFB for e-Procurement was advertised in Sakal dated 15 Feb 2013 and on the website www.onlinetenders.co.in Although a single Work Order was issued for 3 works, the IFB listed each of the 3 works as a separate package

Number of Quotations Received		4		<p>1. Sh. Sunil Gaddemwar, Chandrapur, India, INR 3.9% below; 12.12% below; 6.6% below (Total 3399485)</p> <p>2. M/s A K Construction, Not available, India, INR 0.25% below; 0.2% below; 6% above</p> <p>3. Sh. Chandrashekhar Makode, Not available, India, INR 8% above; 10% above; 7% above</p> <p>4. Sh. Nirmal M Bhandari, Not available, India, INR 5% above; 5% above; 0.5% below</p> <p>Above % rates are mentioned in the same order as listed in the Work Order details. Bidder 1 was L1 in each of the 3 packages</p>
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		15-Feb-13		Quotation preparation period: 8 days
Quotation submission deadline (date and time)		23-Feb-13 5:45 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)				Not available as the bidding document as issued was not available during review
Number of Quotation Validity Period Extensions (Optional)				
Quotation Validity Extension Period (in days) (Optional)				

Evaluation and Award				
Question	Answer		Dev	
Quotation opening Date		25-Feb-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		The successful bidder has not submitted bid security claiming exemption as a educated unemployed engineer in accordance with the procedures of the GoM
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	3,704,017		Awarded Contract varied from Estimate by -8.2%
Lowest Evaluated Quotation Amount	INR	3,399,485		
Second Lowest Evaluated Quotation Amount	INR	3,761,239		No specific reason. It is noted that L1 has quoted considerably lower than estimated cost for each of the 3 works
Awarded Contract Amount	INR	3,399,485	Minor	
(Optional) Performance Security on file (y/n)				Since bid security was not submitted, 2% shall be deducted from each bill

Contract				
Question	Answer		Dev	
Construction Start Date as per Awarded Contract		6-Mar-13		
Actual Construction Start Date				Not recorded
Completion Period as per Awarded		121 days		4 months

Contract (in days)				
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 05-Jul-13)				In progress and delayed due to non availability of sites at each of the 3 locations
Advance Payment Amount	INR	0		
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		21%	Major	As informed, following is the progress: Bhadravati - Plinth level Rajura - Slab level Chandrapur - Slab level
Contract Amount Paid as of Date of PPR	INR	716,241		Amount that was certified for payment
Average Period from Payment Request to Payment Made (in days)				Information on bill date and actual payment were not available
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)				
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering through e-tendering system was adopted, it could not be ascertained whether all requirements of NCB were complied in accordance with the provisions of the FM&P Manual.</i> <i>The bid document provided for exemption from submission of bid security in accordance with GoM provisions which are not in line with the provisions of the FM&P Manual</i>
Indicators of possible F&C present?	Yes	<i>DDs submitted as bid security by the unsuccessful bidders bear serial numbers in close proximity</i>

Comments
At least 30 days was not provided from the publication of IFB to the deadline for submission of bids in accordance with the provisions of the FMP Manual
Copy of the bidding document as issued was not available for review either in paper or electronic version
The execution of the works has been delayed substantially and no extension of time has been issued. The contract period has expired

Review 4 of 12

Date of Review:	26-Feb-14	Contractor:	M/s Anant Krupa Construction, Loha, Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at ZPHS Loha, Tq. Loha, Dist Nanded
Contract Amt:	INR 1,414,534.00	Contract No./Date:	981/2013 (06-Jul-13)
Est. USD Equiv:	\$25,719	Proc. Type:	Works - Open Tendering
Compliant:	No (3 deviations)	Physical Inspection:	No

General			
Review Number			4
Date of Review			2/26/2014
Contract Description			Construction of 2 Additional Classrooms at ZPHS Loha, Tq. Loha, Dist Nanded
Contract Number			981/2013
Contract Date			6-Jul-13
Procurement Category			Works
Name of Contractor			M/s Anant Krupa Construction
City of Contractor			Loha, Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$25,719
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Nanded However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out			IFB was advertised in 2 local newspapers The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding
Number of Quotations Received	3		1. M/s Anant Krupa Construction, Loha, Nanded, India, INR 1414534 (at estimated cost) 2. M/s Sai Construction, Kandhar, Nanded, India, INR 2% above estimated cost 3. Sh. Rahul Sahebrao Chavan, Ardhapur, Nanded, India, INR 3% above estimated cost

Number of Amendments to the Request for Quotation	0		
Date of Invitation for Quotations	13-Mar-13		Quotation preparation period: 14 days
Quotation submission deadline (date and time)	27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions	0		
Quotation Submission Deadline Extensions (in days)	0 days		
Quotation Validity Period (in days) (Optional)	120 days		
Number of Quotation Validity Period Extensions (Optional)	0		
Quotation Validity Extension Period (in days) (Optional)	0 days		

Evaluation and Award			
Question	Answer		Dev
Quotation opening Date	28-Mar-13		Actual date of opening. IFB or bidding document did not mention the date of opening.
Quotation Evaluation Report on file (y/n)	Yes		
If required, was Quotation Security on file (y/n/not required)	Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR 1,414,534		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR 1,414,534		At estimated cost
Second Lowest Evaluated Quotation Amount	INR 1,442,825		2% above estimated cost
Awarded Contract Amount	INR 1,414,534	Minor	
(Optional) Performance Security on file (y/n)	Yes		1% bid security is retained as performance security and 4% is being deducted from bills payable so that total security at completion of works is 5% of the contract value. The % requirement for performance security is left blank in the bidding document

Contract			
Question	Answer		Dev
Construction Start Date as per Awarded Contract	6-Jul-13		
Actual Construction Start Date			Not available
Completion Period as per Awarded Contract (in days)	121 days		
Number of Completion Period Extensions	0		
Duration of Completion Period Extensions (in days)	0 days		
Actual Substantial Completion Date (Comp. in Contract: 04-Nov-13)			In progress and delayed. Contract period has expired
Advance Payment Amount	INR 0		
Bank Guarantee secured against Advance Payment (if applicable)?	Not Required		

Percentage of Works Completed to Date	56%		
Contract Amount Paid as of Date of PPR	INR	786,222	M Book not available for review.
Average Period from Payment Request to Payment Made (in days)			Bill and payment dates not available
Number of complaints on file (in Bank complaints database or with Borrower)	0		
(Optional) Liquidated Damages Deducted from Payments (y/n)	No		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i> <i>Indicators of F & C were noted as mentioned below.</i>
Indicators of possible F&C present?	Yes	<i>DDs for bid document cost and bid security for all 3 bidder bear consecutive serial numbers</i>

Comments
At least 30 days was not provided from the publication of IFB to the deadline for submission of bids in accordance with the provisions of the FMP Manual
Percentage rate system of bidding is adopted.
Defects Liability Period (DLP) is left blank in the bidding document. The Work Order stipulated the DLP as 6 months
The work execution is delayed and the contract period has expired. No extension of time has been issued

Review 5 of 12

Date of Review:	26-Feb-14	Contractor:	M/s Jogdand Construction, Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at ZPH Barad, Tq Mudkhed, Dist Nanded
Contract Amt:	INR 1,414,534.00	Contract No./Date:	853/2013 (10-Jun-13)
Est. USD Equiv:	\$25,719	Proc. Type:	Works - Open Tendering
Compliant:	No (3 deviations)	Physical Inspection:	No

General			
Review Number			5
Date of Review			2/26/2014
Contract Description			Construction of 2 Additional Classrooms at ZPH Barad, Tq Mudkhed, Dist Nanded
Contract Number			853/2013
Contract Date			10-Jun-13
Procurement Category			Works
Name of Contractor			M/s Jogdand Construction
City of Contractor			Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$25,719
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Nanded However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out			IFB was advertised in 2 local newspapers The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding

Number of Quotations Received		3		1. M/s Jogdand Construction, Nanded, India, INR 1414534 (at estimated cost) 2. M/s Mauli Construction, Biloli, Nanded, India, INR 2% above estimated cost 3. Sh P K Jamkar, Nanded, India, INR 1% above estimated cost Bidder 2 did not submit the bid security
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		13-Mar-13		Quotation preparation period: 14 days
Quotation submission deadline (date and time)		27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)		120 days		No practice of issuing LOA
Number of Quotation Validity Period Extensions (Optional)		0		
Quotation Validity Extension Period (in days) (Optional)		0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		28-Mar-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	1,414,534		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	1,414,534		At estimated cost
Second Lowest Evaluated Quotation Amount	INR	1,428,679		1% above estimated cost
Awarded Contract Amount	INR	1,414,534	Minor	
(Optional) Performance Security on file (y/n)		Yes		1% bid security is retained as performance security and additional 2% is obtained prior to award of contract. It was informed that additionally 2% of bills is deducted towards performance security so that total security at completion of works is 5% of the contract value. The % requirement for performance security is left blank in the bidding document

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		10-Jun-13		
Actual Construction Start Date				Not available
Completion Period as per Awarded Contract (in days)		121 days		
Number of Completion Period Extensions		0		

Duration of Completion Period Extensions (in days)	0 days		
Actual Substantial Completion Date (Comp. in Contract: 09-Oct-13)		Major	Informed to be physically completed in February 2014
Advance Payment Amount	INR	0	
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required	
Percentage of Works Completed to Date		100%	As informed. Recorded measurements but not evaluated
Contract Amount Paid as of Date of PPR	INR	0	
Average Period from Payment Request to Payment Made (in days)			Not applicable
Number of complaints on file (in Bank complaints database or with Borrower)		0	
(Optional) Liquidated Damages Deducted from Payments (y/n)		No	
Contract Terminated (y/n)		No	

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i> <i>Indicators of F & C were noted as mentioned below.</i>
Indicators of possible F&C present?	Yes	<i>DD numbers of 2 bidders Jogdand Construction and Mauli construction for purchase of tender documents are in sequential order</i>

Comments
Percentage rate system of bidding is adopted.
The execution/ completion of works was delayed

Review 6 of 12

Date of Review:	26-Feb-14	Contractor:	M/s Jagdamba Majur Cooperative Society, Dharmabad, Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at ZPH Dharmabad, Tq Dharmabad, Dist Nanded
Contract Amt:	INR 1,414,534.00	Contract No./Date:	996/2013 (15-Jul-13)
Est. USD Equiv:	\$25,719	Proc. Type:	Works - Open Tendering
Compliant:	No (3 deviations)	Physical Inspection:	No

General			
Review Number			6
Date of Review			2/26/2014
Contract Description			Construction of 2 Additional Classrooms at ZPH Dharmabad, Tq Dharmabad, Dist Nanded
Contract Number			996/2013
Contract Date			15-Jul-13
Procurement Category			Works
Name of Contractor			M/s Jagdamba Majur Cooperative Society
City of Contractor			Dharmabad, Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$25,719
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Nanded However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out			IFB was advertised in 2 local newspapers The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding
Number of Quotations Received	1	Major	1. M/s Jagdamba Majur Cooperative Society, Dharmabad, Nanded, India, INR 1414534
Number of Amendments to the Request for Quotation	0		
Date of Invitation for Quotations	13-Mar-13		Quotation preparation period: 14 days

Quotation submission deadline (date and time)	27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions	0		
Quotation Submission Deadline Extensions (in days)	0 days		
Quotation Validity Period (in days) (Optional)	120 days		
Number of Quotation Validity Period Extensions (Optional)	0		
Quotation Validity Extension Period (in days) (Optional)	0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		28-Mar-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	1,414,534		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	1,414,534		
Second Lowest Evaluated Quotation Amount	INR			Single bid was received
Awarded Contract Amount	INR	1,414,534	Minor	
(Optional) Performance Security on file (y/n)		Yes		1% bid security is retained and 2% was submitted as FDR balance 2% to be deducted from bills payable

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		15-Jul-13		
Actual Construction Start Date				Not recorded
Completion Period as per Awarded Contract (in days)		122 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 14-Nov-13)				In progress and delayed
Advance Payment Amount	INR	0		
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		70%		No record but it was informed that slab level work has been completed which is about 70%
Contract Amount Paid as of Date of PPR	INR	0		M Book not available. Informed that measurement has been recorded but not evaluated
Average Period from Payment Request to Payment Made (in days)				Not applicable
Number of complaints on file (in Bank complaints database or with Borrower)		0		

(Optional) Liquidated Damages Deducted from Payments (y/n)	No		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i>
Indicators of possible F&C present?	No	

Comments
Percentage rate system of bidding is adopted.
Work execution is delayed beyond contract completion period. Extension of time is not available in the file

Review 7 of 12

Date of Review:	26-Feb-14	Contractor:	M/s Sai Construction , Kandhar, Dist Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at ZPH Katkalamba, Tq Kandhar, Dist Nanded
Contract Amt:	INR 1,414,534.00	Contract No./Date:	855/2013 (10-Jun-13)
Est. USD Equiv:	\$25,719	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	No

General			
Review Number			7
Date of Review			2/26/2014
Contract Description			Construction of 2 Additional Classrooms at ZPH Katkalamba, Tq Kandhar, Dist Nanded
Contract Number			855/2013
Contract Date			10-Jun-13
Procurement Category			Works
Name of Contractor			M/s Sai Construction
City of Contractor			Kandhar, Dist Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$25,719
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Nanded However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out			IFB was advertised in 2 local newspapers The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding

Number of Quotations Received		3		1. M/s Sai Construction , Kandhar, Dist Nanded, India, INR 1414534 (at estimated cost) 2. M/s Anant Krupa Construction, Loha, Nanded, India, INR 2% above estimated cost 3. Sh. Devane Suryakant Anandrao, Kandhar, Dist Nanded, India, INR 3% above estimated cost
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		13-Mar-13		Quotation preparation period: 14 days
Quotation submission deadline (date and time)		27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)		120 days		
Number of Quotation Validity Period Extensions (Optional)		0		
Quotation Validity Extension Period (in days) (Optional)		0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		28-Mar-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	1,414,534		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	1,414,534		At estimated cost
Second Lowest Evaluated Quotation Amount	INR	1,442,825		2% above estimated cost
Awarded Contract Amount	INR	1,414,534	Minor	
(Optional) Performance Security on file (y/n)		Yes		1% bid security is retained and 2% was submitted as FDR balance 2% deducted from bills payable

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		10-Jun-13		
Actual Construction Start Date				Not available
Completion Period as per Awarded Contract (in days)		121 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 09-Oct-13)		30-Jan-14	Major	It was informed that sand was not available and hence the delay
Advance Payment Amount	INR	0		
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		100%		

Contract Amount Paid as of Date of PPR	INR	1,368,054		2nd and Final bill paid
Average Period from Payment Request to Payment Made (in days)				Bill 1 20 Sep 2013 and Bill 2 dated 20 Jan 2014. Payment dates not available
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)		No		
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i> <i>Indicators of F & C were noted as mentioned below.</i>
Indicators of possible F&C present?	Yes	<i>Bid cost DD from 2 bidders other than the successful bidder bear sequential serial numbers</i>

Comments
Percentage rate system of bidding is adopted.
Work completion was delayed beyond contract completion period. Extension of time was not available in the file

Review 8 of 12

Date of Review:	26-Feb-14	Contractor:	Sh. P K Jamkar, Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at ZPH Petwadaj, Tq Kamekar, Dist Nanded
Contract Amt:	INR 1,414,534.00	Contract No./Date:	857/2013 (10-Jun-13)
Est. USD Equiv:	\$25,719	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	No

General			
Review Number			8
Date of Review			2/26/2014
Contract Description			Construction of 2 Additional Classrooms at ZPH Petwadaj, Tq Kamekar, Dist Nanded
Contract Number			857/2013
Contract Date			10-Jun-13
Procurement Category			Works
Name of Contractor			Sh. P K Jamkar
City of Contractor			Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$25,719
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Nanded However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out			IFB was advertised in 2 local newspapers The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding
Number of Quotations Received	1	Major	1. Sh. P K Jamkar, Nanded, India, 10% above estimated cost negotiated to INR 1414534
Number of Amendments to the Request for Quotation	0		
Date of Invitation for Quotations	13-Mar-13		Quotation preparation period: 14 days

Quotation submission deadline (date and time)	27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions	0		
Quotation Submission Deadline Extensions (in days)	0 days		
Quotation Validity Period (in days) (Optional)	120 days		
Number of Quotation Validity Period Extensions (Optional)	0		
Quotation Validity Extension Period (in days) (Optional)	0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		28-Mar-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	1,414,534		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	1,414,534		
Second Lowest Evaluated Quotation Amount	INR			Single bid received
Awarded Contract Amount	INR	1,414,534	Minor	
(Optional) Performance Security on file (y/n)		Yes		1% bid security is retained and 2% was submitted as FDR balance 2% deducted from bills payable

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		10-Jun-13		
Actual Construction Start Date				Not available
Completion Period as per Awarded Contract (in days)		121 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 09-Oct-13)		21-Dec-13	Major	It was informed that sand was not available and hence the delay
Advance Payment Amount	INR	0		
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		100%		
Contract Amount Paid as of Date of PPR	INR	1,389,138		2nd and final bill paid. Bill 1 dated 4 Oct 2013 and Bill 2 dated 21 Dec 2013
Average Period from Payment Request to Payment Made (in days)				Payment date not available
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)		No		
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<p><i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i></p> <p><i>Price was negotiated and reduced from 10% above estimated cost to be equal to the estimated cost. Justification for price negotiation and whether in accordance with the CVC guidelines was not recorded</i></p>
Indicators of possible F&C present?	No	

Comments
<p>Percentage rate system of bidding is adopted.</p> <p>Work completion was delayed beyond contract completion period. Extension of time was not available in the file</p>

Review 9 of 12

Date of Review:	26-Feb-14	Contractor:	Sh. Bakwad Anandrao Aralak, Naigaon, Dist Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 4 Additional Classrooms at ZPH Malakoli, Tq Loha, Dist Nanded
Contract Amt:	INR 2,826,469.00	Contract No./Date:	854/2013 (10-Jun-13)
Est. USD Equiv:	\$51,390	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	No

General			
Review Number			9
Date of Review			2/26/2014
Contract Description			Construction of 4 Additional Classrooms at ZPH Malakoli, Tq Loha, Dist Nanded
Contract Number			854/2013
Contract Date			10-Jun-13
Procurement Category			Works
Name of Contractor			Sh. Bakwad Anandrao Aralak
City of Contractor			Naigaon, Dist Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$51,390
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	This package is as per the approved annual work plan for the district of Nanded However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual
Number of RFQs Sent Out			IFB was advertised in 2 local newspapers The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding

Number of Quotations Received		3		1. Sh. Bakwad Anandrao Aralak, Naigaon, Dist Nanded, India, INR 1% above estimated cost negotiated to estimated cost 2826469 2. Sh. Maroti Shankarrao Chanchalwad, Biloli, Dist Nanded, India, INR 2% above estimated cost 3. Sh. Pawar Vishwambhar Gopalrao, Bhokar, Dist Nanded, India, INR 2.5% of estimated cost
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		13-Mar-13		Quotation preparation period: 14 days
Quotation submission deadline (date and time)		27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)		120 days		
Number of Quotation Validity Period Extensions (Optional)		0		
Quotation Validity Extension Period (in days) (Optional)		0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		28-Mar-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	2,826,461		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	2,854,726		1% above estimated cost
Second Lowest Evaluated Quotation Amount	INR	2,882,990		2% above estimated cost
Awarded Contract Amount	INR	2,826,469	Major	The price was negotiated and reduced to equal the estimated cost
(Optional) Performance Security on file (y/n)		Yes		1% bid security is retained and 2% was submitted as FDR balance 2% deducted from bills payable

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		10-Jun-13		
Actual Construction Start Date				Not available
Completion Period as per Awarded Contract (in days)		121 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 09-Oct-13)				In progress and delayed
Advance Payment Amount	INR	0		

Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		22%	Major	As informed, construction has reached door level
Contract Amount Paid as of Date of PPR	INR	630,026		1 Bill has been paid
Average Period from Payment Request to Payment Made (in days)				Payment date not available
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)		No		
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<p><i>As per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i></p> <p><i>Price was negotiated and reduced from 1% above estimated cost to be equal to the estimated cost. Justification for price negotiation and whether in accordance with the CVC guidelines was not recorded</i></p> <p><i>Indicators of F & C were noted as mentioned below.</i></p>
Indicators of possible F&C present?	Yes	<i>DDs for tender cost and bid security for all 3 bidder bear consecutive serial numbers</i>

Comments
Percentage rate system of bidding is adopted.
Work execution is delayed beyond contract completion period. Extension of time is not available in the file

Review 10 of 12

Date of Review:	26-Feb-14	Contractor:	Sh. Shrikant B Mehakarkar, Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 2 Additional Classrooms at ZPH Vishnupuri, Tq & Dist Nanded
Contract Amt:	INR 1,414,534.00	Contract No./Date:	856/13 (10-Jun-13)
Est. USD Equiv:	\$25,719	Proc. Type:	Works - Open Tendering
Compliant:	No (5 deviations)	Physical Inspection:	No

General			
Review Number			10
Date of Review			2/26/2014
Contract Description			Construction of 2 Additional Classrooms at ZPH Vishnupuri, Tq & Dist Nanded
Contract Number			856/13
Contract Date			10-Jun-13
Procurement Category			Works
Name of Contractor			Sh. Shrikant B Mehakarkar
City of Contractor			Nanded
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$25,719
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Unable to Verify	Major	<p>This package is as per the approved annual work plan for the district of Nanded</p> <p>However, as per the FM&P Manual, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</p>
Number of RFQs Sent Out			<p>IFB was advertised in 2 local newspapers</p> <p>The bidding document is based on the B1 format of the Govt of Maharashtra (GoM) adopting a percentage rate system of bidding</p>

Number of Quotations Received		3		1. Sh. Shrikant B Mehakarkar, Nanded, India, INR 8.21% above estimated cost negotiated to estimated cost of 1414534 2. Sh. Prakash D Gutte, Nanded, India, INR 10% above estimated cost 3. Sh. Vikas V. Deshmukh, Nanded, India, INR 11.2% above estimated cost
Number of Amendments to the Request for Quotation		0		
Date of Invitation for Quotations		13-Mar-13		Quotation preparation period: 14 days
Quotation submission deadline (date and time)		27-Mar-13 2:00 PM		
Number of Quotation Submission Deadline Extensions		0		
Quotation Submission Deadline Extensions (in days)		0 days		
Quotation Validity Period (in days) (Optional)		120 days		
Number of Quotation Validity Period Extensions (Optional)		0		
Quotation Validity Extension Period (in days) (Optional)		0 days		

Evaluation and Award				
Question		Answer		Dev
Quotation opening Date		28-Mar-13		
Quotation Evaluation Report on file (y/n)		Yes		
If required, was Quotation Security on file (y/n/not required)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	1,414,534		Awarded Contract varied from Estimate by +0.0%
Lowest Evaluated Quotation Amount	INR	1,530,667		8.21% above estimated cost
Second Lowest Evaluated Quotation Amount	INR	1,555,987		10% above estimated cost
Awarded Contract Amount	INR	1,414,534	Major	The price was negotiated and reduced to equal the estimated cost
(Optional) Performance Security on file (y/n)		Yes		

Contract				
Question		Answer		Dev
Construction Start Date as per Awarded Contract		10-Jun-13		
Actual Construction Start Date				Not available
Completion Period as per Awarded Contract (in days)		121 days		
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 09-Oct-13)		10-Oct-13	Minor	Marginal delay
Advance Payment Amount	INR	0		

Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date		100%		
Contract Amount Paid as of Date of PPR	INR	1,214,150		
Average Period from Payment Request to Payment Made (in days)				Payment date not available
Number of complaints on file (in Bank complaints database or with Borrower)		0		
(Optional) Liquidated Damages Deducted from Payments (y/n)				
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<p><i>As per the FMP, packages above INR 1 million need to be procured through open tendering (NCB). While an open tendering was adopted, the same does not fulfill all the requirements of NCB as stipulated in the FM&P Manual</i></p> <p><i>Price was negotiated and reduced from 8.21% above estimated cost to be equal to the estimated cost. Justification for price negotiation and whether in accordance with the CVC guidelines was not recorded</i></p> <p><i>Indicators of F & C were noted as mentioned below.</i></p>
Indicators of possible F&C present?	Yes	<i>Bid document cost DD for all 3 bidders bear consecutive serial numbers while the bid security DD for L2 and L3 bidders bear consecutive serial numbers</i>

Comments	
Percentage rate system of bidding is adopted.	

Review 11 of 12

Date of Review:	26-Feb-14	Contractor:	SMDC, Govt. Ashram School, Jaldhara, Tq Kinwat, Dist Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 1 Additional Classroom at Govt. Ashram School, Jaldhara, Tq Kinwat, Dist Nanded
Contract Amt:	INR 898,000.00	Contract No./Date:	Nil (07-Aug-13)
Est. USD Equiv:	\$16,327	Proc. Type:	Works - Force Account
Compliant:	Yes (1 deviations)	Physical Inspection:	No

General			
Review Number			11
Date of Review			2/26/2014
Contract Description			Construction of 1 Additional Classroom at Govt. Ashram School, Jaldhara, Tq Kinwat, Dist Nanded
Contract Number			Nil
Contract Date			7-Aug-13
Procurement Category			Works
Name of Contractor			SMDC, Govt. Ashram School
City of Contractor			Jaldhara, Tq Kinwat, Dist Nanded
Country of Contractor			India
Applied Procurement Method			Direct Contracting
If selected "Other" in Applied Procurement Method, define			Force Account
US Equiv			\$16,327
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement method required as per the proc. plan used?	Yes		

Evaluation and Award			
Question	Answer		Dev
Recorded, valid justification for using Direct Contracting on file (y/n)			Not applicable
Bank's No Objection for using Direct Contracting for SMDC, Govt. Ashram School on file (y/n)			Not applicable
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	898,000	Awarded Contract varied from Estimate by +0.0%
Awarded Contract Amount	INR	898,000.00	
Type of Contract		w/o price adj. clause	
(Optional) Performance Security on file (y/n)		Not Required	

Contract			
Question	Answer		Dev

Contract Award publicized properly in UNDB and dgMarket				Not applicable
Construction Start Date as per Awarded Contract	7-Aug-13			
Actual Construction Start Date				Not available
Completion Period as per Awarded Contract (in days)				
Number of Completion Period Extensions	0			
Duration of Completion Period Extensions (in days)	0 days			
Actual Substantial Completion Date (Comp. in Contract: 07-Aug-13)				In progress and delayed
Advance Payment Amount	INR 327,082	Minor		Advance released as per MOU with SMDC
Bank Guarantee secured against Advance Payment (if applicable)?	Not Required			
Percentage of Works Completed to Date				The SMDC has not submitted utilization of the first installment
Contract Amount Paid as of Date of PPR	INR 327,082	Minor		
Average Period from Payment Request to Payment Made (in days)				Not applicable
Number of complaints on file (in Bank complaints database or with Borrower)	0			
Liquidated Damages Deducted from Payments (y/n)	No			
Contract Terminated (y/n)	No			

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	Yes	
Indicators of possible F&C present?	No	

Comments	
The execution of the work has been delayed	

Review 12 of 12

Date of Review:	26-Feb-14	Contractor:	SMDC, Govt. Ashram School, Bodhadi, Tq Kinwat, Dist. Nanded, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of 1 Science Lab at Govt. Ashram School at Bodhadi, Tq Kinwat, Dist. Nanded
Contract Amt:	INR 898,000.00	Contract No./Date:	Nil (11-Dec-13)
Est. USD Equiv:	\$16,327	Proc. Type:	Works - Force Account
Compliant:	Yes (1 deviations)	Physical Inspection:	No

General			
Review Number			12
Date of Review			2/26/2014
Contract Description			Construction of 1 Science Lab at Govt. Ashram School at Bodhadi, Tq Kinwat, Dist. Nanded
Contract Number			Nil
Contract Date			11-Dec-13
Procurement Category			Works
Name of Contractor			SMDC, Govt. Ashram School
City of Contractor			Bodhadi, Tq Kinwat, Dist. Nanded
Country of Contractor			India
Applied Procurement Method			Direct Contracting
If selected "Other" in Applied Procurement Method, define			Force Account
US Equiv			\$16,327
Reviewer			Global Procurement Consultants Limited
Date review form generated			2/26/2014
Physical Inspection			No

Bidding			
Question	Answer	Dev	
Was the procurement method required as per the proc. plan used?	Yes		

Evaluation and Award			
Question	Answer	Dev	
Recorded, valid justification for using Direct Contracting on file (y/n)			Not applicable
Bank's No Objection for using Direct Contracting for SMDC, Govt. Ashram School on file (y/n)			Not applicable
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR 898,000		Awarded Contract varied from Estimate by +0.0%
Awarded Contract Amount	INR 898,000.00		Additionally, an amount of INR 147,000 is also provided for Lab Furniture
Type of Contract	w/o price adj. clause		
(Optional) Performance Security on file (y/n)	Not Required		

Contract

Question		Answer	Dev	
Contract Award publicized properly in UNDB and dgMarket				Not applicable
Construction Start Date as per Awarded Contract		11-Dec-13		
Actual Construction Start Date				Not mentioned
Completion Period as per Awarded Contract (in days)				
Number of Completion Period Extensions		0		
Duration of Completion Period Extensions (in days)		0 days		
Actual Substantial Completion Date (Comp. in Contract: 11-Dec-13)				In progress
Advance Payment Amount	INR	474,082	Minor	Advance released as per MOU with SMDC
Bank Guarantee secured against Advance Payment (if applicable)?		Not Required		
Percentage of Works Completed to Date				The SMDC has not submitted utilization of the first installment
Contract Amount Paid as of Date of PPR	INR	474,164	Minor	
Average Period from Payment Request to Payment Made (in days)				Not applicable
Number of complaints on file (in Bank complaints database or with Borrower)		0		
Liquidated Damages Deducted from Payments (y/n)		No		
Contract Terminated (y/n)		No		

Summary				
Question		Answer	Comments	
Compliance with Bank Guidelines and procedures		Yes		
Indicators of possible F&C present?		No		

Comments				
The execution of the work has been delayed				

Annex A

Definitions of Post Review Compliance/Performance Risk Ratings:

Low Compliance/ Performance Risk	Borrower procurement processes, and/or contract administration are of highest quality, reliability, timeliness, and transparency, and required little or no corrective action needed by the Bank
Moderate Compliance/ Performance Risk	Borrower procurement processes, and/or contract administration are of generally good quality, reliability, timeliness, and transparency with minor corrective actions needed by the Bank
Substantial Compliance/ Performance Risk	Moderate shortcomings in Borrower procurement processes, and/or contract administration have limited or jeopardized the timely or efficient achievement of one or more major outputs, but resolution(s) is/are likely
High Compliance/ Performance Risk	Significant shortcomings in Borrower procurement processes, and/or contract administration have limited or jeopardized the timely or efficient achievement of one or more major outputs, and resolution is uncertain or unlikely

Compliance¹ Risk Rating of Procurement Processes:

(Indicators to consider:

- **Procurement Planning:** Assessment of quality of, and adherence to, the procurement plan, including changes/updates if applicable
- **Publications:** Assessment of quality of, and adherence to, advertising and contract award publication requirements for applicable contracts
- **Bidding:** Assessment of quality of, and adherence to, requirements for bidding documents, including RFP's, LOI's, short lists, terms of reference, and other applicable documents
- **Evaluation:** Assessment of quality of, and adherence to, bid evaluation requirements/criteria, including draft contracts, technical and financial evaluation reports, and bid amendments, if applicable
- **Awards:** Assessment of quality of, and adherence to, contract award requirements, including amendments, variation orders, and extensions)

Performance² Risk Rating of Contract Administration:

(Indicators to consider:

- **Implementation:** Assessment of quality of, and adherence to, contract implementation criteria, including results of physical inspections
- **Payments:** Assessment of adherence to contract payment schedules, including timeliness of payments to contractors
- **Compliance:** Assessment of adherence to all contractual compliance with agreed provisions; adherence to all related anti-corruption practices)
- **Record-keeping:** Availability, quality, security and completeness of contract records and files

¹ Compliance in accordance with the Legal Agreement, Procurement Guidelines and other agreed provisions

² Performance of executing agency in implementing the contract