

BY REGD. POST

**ADMINISTRATIVE & FINANCIAL
SANCTION – 1ST BATCH (NER)**

No.F.21-3/2009-TS.IV
Government of India
Ministry of Human Resource Development
(Department of Higher Education)

Shastri Bhawan, New Delhi - 110115
Dated the 14th September, 2009

To,

The Accounts Officer
Pay & Accounts Office
Department of Higher Education, Shastri Bhawan, New Delhi.

Subject: Selection of diploma level institutions to implement the new **Plan Project – Scheme of Community Development Through Polytechnics** and Release of Direct Central Assistance to selected institutions during the financial year **2009-10** – reg.

Sir,

I am directed to state that as per the approval of the Cabinet Committee on Economic Affairs, the new **Plan Project – Scheme of Community Development Through Polytechnics** is to be implemented through 1000 AICTE approved Polytechnic Institutions, during the 11th Five Year Plan Period, to promote skill development and community/rural development through the application of Science & Technology.

2. The *Salient Features Document* of the *Scheme of Community Development Through Polytechnics* may please be perused and downloaded from this Department's website – **<http://www.education.nic.in/secondary.htm>**, and clicking the following links therein (i) **Technical Education**; (ii) **Schemes** (iii) **Sub-Mission on Polytechnics**. To implement the aforementioned Scheme, the selected Polytechnics are eligible for the following maximum grants-in-aid from time to time :-

- (a) **One time Non Recurring Grants-in-aid of Rs 13.00 lakhs** to Polytechnics where the erstwhile Community Polytechnic Scheme was implemented for making the expenditure on non recurring items including equipment etc.
- (b) **Recurring Grants-in-aid upto a maximum of Rs 17.00 lakh per annum** for making recurring expenditure, including remuneration to the contractual Project Staff, stationary, consumables, technical material and other contingencies etc.

3. I am directed to say that the under mentioned **7 Polytechnics have now been selected to implement the new Plan Scheme of Community Development Through Polytechnics**. I am also directed to convey the sanction of the President of India to the payments of 1st Installment of Grants-in-aid to the tune of **Rs.71,75,000/- (Rupees Seventy One Lakh Seventy Five Thousand Only)** under Plan during the financial year 2009-10, to the Principals/Directors of the undermentioned **7 Institutions**, for implementing the *Scheme of Community Development Through Polytechnics*, as per the details given below:-

(Rs in Lakhs)

	<u>Grant per Institute</u>	<u>No. of Institutes</u>		<u>Total grant</u>
Recurring Grant :	Rs. 4.25	x 7	=	Rs. 29.75
Non-Recurring Grant :	Rs. 6.00	x 7	=	Rs. 42.00
Total :	Rs.10.25	x 7	=	Rs. 71.75

(Rs in Lakhs)

S.No	Name of Polytechnic	Grant to be Released		
		R	NR	Total
	MEGHALAYA			
1.	Shillong Polytechnic, Shillong, Mawlai Kyntonmassar, East Khasi Hills, Meghalaya - PIN 793 008	4.25	6.00	10.25
	TRIPURA			
2.	Tripura Institute of Technology, Narsingarh, Agartala, Tripura, PIN 799 009	4.25	6.00	10.25
	ASSAM			
3.	HRH Prince of Wales Institute of Engineering & Technology, Jorhat, Assam - PIN 785 001	4.25	6.00	10.25
4.	Girls' Polytechnic, Boumani Maidan, Guwahati, Assam - PIN 781 021	4.25	6.00	10.25
5.	Assam Textile Institute, RGB Road, Ambari, Guwahati, Assam - PIN 781 001	4.25	6.00	10.25
6.	Assam Engineering Institute, Chandmari, Guwahati, Assam - PIN 781 003	4.25	6.00	10.25
7.	Residential Girls' Polytechnic, Golaghat, Assam - PIN 785 621	4.25	6.00	10.25

4. The Scheme should be implemented by the above Institutes strictly in accordance with the provisions of the *Salient Features Document* and the Ministry's instructions and Guidelines issued from time to time. The overall responsibility for implementation of the Scheme will rest with the Principal of the Polytechnic, who must ensure compliance of all applicable norms, guidelines & instructions.

5. The Principals of the above Institutes may take suitable action for preparation and approval of the Annual Operational Plan (**AoP**) as envisaged in the Scheme's *Salient Features Document* and should ensure that every expenditure incurred under the Scheme is incurred only for the activities/items as per the approved AoP. **The activities under the Scheme should be limited to the extent of grants-in-aid available with the grantee Institution at any given time. The grantee Institution would bear responsibility for any expenditure incurred over and above available grants and Ministry would not ordinarily be liable to reimburse the same, on a routine basis. Release of 2nd Installment will be considered only after Polytechnics submit the quarterly financial position, within prescribed time, as stated in para 16 below.**

6. The concerned State Governments and the Directors of Technical Education are requested to kindly facilitate the selected Polytechnics to rapidly operationalize the scheme during the current financial year and grant required approvals for operating bank accounts and completing other formalities expeditiously. In order to ensure the effective implementation of the Scheme, each Polytechnic may be facilitated to have collaborations with local bodies, local industries, local Govt Departments and organizations at the local level, to ensure that the programmes offered under the Scheme are need based and facilitate employment opportunities.

7. The above Institutes **should immediately on receipt of this letter, send to this Ministry, within 10 days, the details of their Institute as well as their Bank Account particulars in the enclosed proforma (2 pages) at Annex.1, duly signed by the Principal of the Polytechnic as well as concerned Bank Manager.** State Governments/DTEs are requested to kindly facilitate the Polytechnics to open a separate Bank Account for the Scheme, at an early date.

Important Notes:- Polytechnics should not use words such as "Community or Scheme etc." while recording their name in Bank Account. Only the approved name of Polytechnic must be used or Electronic transfer of funds may be delayed/fail. **Polytechnics are advised that every Column of the enclosed proforma (2 pages) must be compulsorily filled and nothing must be left blank, as sanction and release of funds is done electronically. The Bank details such as MICR code & IFSC code may be filled in consultation with Bank, and should not be left blank.**

8. Submission to the Ministry, of the enclosed proforma (2 pages), by the Principals of the Polytechnics will be deemed as their acceptance to implement the Scheme and abide by the conditions governing the Scheme's implementation, as per the Instructions and Guidelines issued by this Ministry from time to time.

9. There is no unspent balance of grants under this Scheme, with any of the above named grantee institutions.

10. Release of further grants-in-aid shall be made on the basis of performance of the Institutions in terms of physical and financial achievements and after the submission of pending Utilisation Certificates, within the prescribed time limit.

11. It is certified that no previous UCs pertaining to the main grant (*Scheme of Community Development Polytechnics*), released to the grantee institutions, are pending. This Grant pertains to a Scheme, different from the Scheme, for which UCs, if any, are pending and the release of grants is therefore permitted in terms of the Ministry of Finance OM No.8(4)/(E.II) A/2005 dt.07.12.2007.

12. This Sanction is issued in exercise of the powers delegated to Ministries under DFPR,1978, as amended from time to time. Above grants are being released in accordance with the pattern of assistance approved by the Ministry of Finance, Government of India. It is also certified that this Sanction is being issued in conformity with the rules and principles of the Scheme.

13. The expenditure on account of the above grant is debitable to Demand No. 58 – Department of Higher Education – Major Head ‘2203’ – Technical Education – 00.105 –Polytechnics – 04 – Grants for Quality Improvement Programme – Community Polytechnics – 04.00.31 – Grants-in-aid during the f.y. 2009-10 under Plan (Recurring & Non-Recurring) **after re-appropriation of funds amounting to Rs.71.75 Lakhs** from the *Major Head 2552 – North Eastern Areas – 00.145 - Technical Education-Polytechnics – 01 – Grants for Quality Improvement Programme – Community Polytechnics –01.00.31 – Grants-in-aid (Plan)*.

14. This sanction is issued in exercise of delegated powers to the Ministries and with the concurrence of **Integrated Finance Branch vide their Dy. No.4406/2009 – IFD dated 24.08.2009 and IF.I vide Dy. No.941/2009-IF.I dated 04.09.2009.**

15. The amount of the above grants-in-aid will be drawn by the Drawing and Disbursing Officer (Grant), Department of Higher Education and paid to the grantee institutions through Electronic Transfer/Draft to the Principal/Director, _____

_____ vide **Bank Account No:** _____

16. The grant is being released subject to the conditions enumerated below:

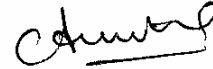
i) The grantee Institutions shall utilize the grants released vide this sanction letter, to implement the *Scheme of Community Development through Polytechnics*, strictly in accordance with the *Salient Features Document* and other Instructions & Guidelines issued by this Ministry from time to time and shall achieve all the targets as per each Institute’s approved Annual Operational Plan, as envisaged in the Scheme. The suggested targets for the major activities of the Scheme are as follows -

- a) Short term non-formal skill development courses – 600 trainees p.a.
- b) Technology Transfer activities – 05 Technologies with at least 50 beneficiaries p.a..
- c) Minimum 5 Technical and Support Services Camps per annum per polytechnic.
- d) Extension Centres to be established – 05-10 locations.

- ii) On completion of training courses, the Polytechnic concerned should provide necessary guidance and assistance to the Trainees for their employment/self employment. Towards this end the programmes to be implemented under the Scheme, should be selected after careful assessment of the local needs through need assessment surveys, linkages with local industry etc.
- iii) All project staff should be engaged only as prescribed in the Scheme's *Salient Features Document* and the Principal of the Polytechnic must make clear to prospective contract employees at every stage of the recruitment, including in Public notices, Interview, contract etc. that the employment offered is purely temporary and under a project, approved for implementation on an annual basis.
- iv) The accounts of the grantee Institutions shall be open to inspection by the sanctioning authority (or its representative) and audit, both by the Comptroller and Auditor General of India under the provision of CAG (DPC) Act 1971 and internal audit by the Principal Accounts Office of the Ministry, whenever the Institution is called upon to do so;
- v) The above Institutes are required to utilize the assets accumulated under the erstwhile *Scheme of Community Polytechnics*, to implement the new Scheme. The grantee Institution shall maintain a year-wise Register of Assets, listing assets acquired with the grants released under the above mentioned Scheme, as well as assets under the old *Scheme of Community Polytechnics*, in the prescribed General Financial Rules, 2005 Form GFR-40 & 41. Assets acquired wholly or substantially out of the grants shall not without the prior sanction of the Government of India, be disposed, encumbered or be utilised for any purpose other than for which it is sanctioned;
- vi) The institutions shall furnish regularly, ***Quarterly Financial Reports***, giving item-wise details of the recurring & Non rec. expenditure incurred, and unspent Recurring and Non-Recurring grants available at the close of the quarter, **to the concerned National Institute of Technical Teachers Training & Research (NITTTR) of their Region, within 10 days of the close of the quarter.** The Principal of the grantee institution will be responsible for any delay or stoppage in release of recurring grants, if eligible, in subsequent years, due to delay/failure of the Polytechnic to submit the required information, within prescribed time limits;
- vii) The institutions shall furnish a ***Half-Yearly Physical Progress Report***, in prescribed format, on the physical progress achieved under the Scheme's activities in the previous Half-Year, **to the concerned NITTTR of their Region.**
- viii) The institutions shall maintain separate subsidiary accounts for the grants received under the above mentioned Scheme and furnish to the Government, latest by 31.03.2010, an Utilization Certificate (UC) in the prescribed form GFR-19A, supported by the Audited Statement of Accounts (SoA), in prescribed format, duly signed by the authorized auditor of the institution and countersigned by the Principal/Director of the institution, to the effect that the grant-in-aid (GIA) has been utilized for the purpose for which it was sanctioned. The GIA in respect of the next financial year, if eligible, shall be released only after the Ministry has satisfied itself, that the grantee Institution has properly utilized the grants released.
- ix) At the close of the financial year, each Institution should also furnish an Annual Physical Achievement Report (PAR), duly signed by the Principal and **to be attached with the UC**, listing the achievements of the previous financial year, under all activities of the Scheme, including therewith, reasons for shortfall, if any, in achieving the targets prescribed in the Annual Operational Plan, and corrective action proposed;

- x) The Principal/Director of **each grantee Institution should submit, within 10 days of the close of financial year** i.e. **10th April, 2010**, a **one page summary report** on the estimated total expenditure in the previous financial year, and the unspent closing balance of grant available as on 31st March 2010, **separately for Recurring & Non Recurring grants**. This report must be communicated to the Ministry/concerned NITTTR, by Fax/Email/Post. The Principal of the grantee institution will be responsible for any delay or stoppage in release of recurring grants, if eligible, in subsequent years, due to delay/failure of the Polytechnic to submit the required information, within prescribed time limits;
- xi) The Ministry will be at liberty to blacklist those Institutions, which do not submit the Utilization Certificate within the prescribed time, from any future grant, subsidy or other financial support from Government and also to publish a list of such Institutes on-it's website;
- xii) The Institution has been exempted from the execution of bond etc. in terms of Ministry of Finance (Department of Expenditure) OM No. 14(1) – E-II/A dated 23rd June 1965;
- xiii) In future grants will be released to the institute only after they have satisfied this Ministry that adequate provisions for representation of SCs/STs has been made in their constitution and these are being followed in equal practice in filling up of vacancies reserved for SC/ST candidates every year.
- xiv) The organisation shall fully implement Official Language Policy of the Union Government i.e. the organisation shall fully comply with the Official Language Act, 1963 and Official Language (use for the official purposes of the Union) Rules, 1976 etc.
17. The grantee is in concerned circle of accounts.

Yours faithfully,



(A.K.NASSA)

ASSISTANT EDUCATIONAL ADVISER

(अभिलेख नाम/A. K. NASSA)

सहा. शिक्षा सहायक (तकनीकी)

Asst. Educational Adviser (Tech.)

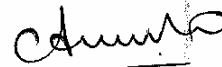
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Copy to:

1. The Drawing and Disbursing Officer (Grants) with two spare copies of the **sanction letter and the grants in aid bill and a requisition for issue for cheque/DD duly filled for necessary action**.
2. The Secretaries, Dealing with Technical Education, in the concerned States / UTs.
3. The Director of Tech. Education, of the concerned States.
4. The Principal/Director of the concerned institution.
5. The Accountant General (A&E) - II, of the concerned States.
6. The Director, National Institute of Technical Teachers Training & Research, Kolkata.
7. EC Unit 8. IF.I Section 9. IFD 10.Guard File 11.Website: <http://www.education.nic.in/secondary.htm>.



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