

FY14 Procurement Post Review Report for INDIA Secondary Education Project- Uttarakhand Component (P118445) Cr5088

Project Information	
Project Name	INDIA Secondary Education Project-Uttarakhand Component (P118445) Cr5088
Procurement Risk Rating and Review Percentage	High (20.00%)
Resp. Bank Unit / Task Team Leader (TL)	SASHD / TOBY LINDEN
Procurement Specialist (PS)	SATYA PANDA

Post Review Information	
Post Review Conducted by	Global Procurement Consultants Limited
PPR Dates	12-Mar-14 to 13-Mar-14 (separate from SPN mission)
Total contracts awarded subject to PPR since last PPR	20 contracts awarded (between 01-Jul-12 to 30-Jun-13)
No. Contracts to Review	4
Percentage of Contracts Reviewed	35% (7 reviewed out of 20 awarded)
No. of Physical Inspections	2

Post Review Status		
Date Draft Report Completed	28-May-14	
Date Borrower's Factual Corrections and Explanations Received		
Date TTL Input Received (if any)		
Date CPC Clearance		
Date TTL Sent Finalized Report to Borrower		
Date Submitted in Operations Portal		

1. Executive Summary

[brief description of post review objective, key findings/issues, and recommendations]

1.1 Objective

- Verify the procurement and contracting procedures and processes followed for the contracts in the representative sample, and, as appropriate, identify noncompliance with the Loan, Credit or Trust Fund Agreement, the Procurement Plan, Guidelines, etc.; and , inappropriate practices by the Implementing Agency (IA) or other parties;
- Verify the physical completion and existence of the goods and works and, where applicable, non-consulting services resulting in a physical output, which have been procured under the contracts;
- Review and evaluate the contract management practices of the IA within the context of the representative sample of contracts;
- Review and evaluate the record keeping and file management arrangements of the IA
- Recommend actions to correct the identified deficiencies.

1.2 Key Findings / Issues

Contract Ref.	Contract Description	Deviations
Review 1	Extension of Govt High School Laldhang and Government Inter College, Gandharikhet Block Bhadrabad, District Haridwar	<ul style="list-style-type: none">• Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual]• RMSA Model bid document for Open Tendering was not used [Para 8.5.6 (f) of FM&P Manual];
Review 2	Extension of Govt High School Kedarwala, Block Vikasnagar, District Dehradun	
Review 3	Construction of Govt High School Badripur, Block Vikasnagar, District Dehradun	
Review 4	Construction of Govt High School Sarkot, Block Takula, District Almora	
Review 5	Construction of Govt Inter College at Phuban, Block Lamgara, District Almora	

1.3 Recommendations

- a) Advised to revise the estimate before initiating the procurement process;
- b) Advised to provide minimum bidding period of 30 days as per FM&P Manual;
- c) Advised to use the prescribed model bidding document of RMSA to procure the Works, as the Irrigation department is using their departmental bid document. The MoU between RMSA and Irrigation Department stipulates the condition to adhere to the prescribed Financial and Procurement manual of RMSA (Para 23 of MoU dated 30 May 2013).
- d) Advised to improve the process of planning/approval to reduce the procurement lead time in view of the Detailed Project Reports (DPR) were prepared based on the Schedule of Rates of June 2011 and technical sanction was accorded vide letter dated 31 Mar 2012 and the reviewed contracts were awarded from Jul – Nov 2012;

2. Discussion on the Selection of the Contract Samples to be Reviewed and List of Contracts Reviewed

[brief description of the selection of the contract sample and summary list of the contracts reviewed, including procurement category, procurement method, contract number, contract date, short contract description, name]

of contracting agency, name of contractor/supplier/consultant, contract amount]

Out of the **20** contracts shown to have been procured during the period July 1, 2012 and June 30, 2013, **7** contracts were selected for review with the concurrence of the Bank DPS for Uttarakhand component. The selected sample was approved by the Bank's DPS. The list of contracts reviewed can be referred to in the "Review Summary" of the Report.

3. Findings on the Review of Procurement Processes

Provide a brief description of findings on procurement processes, including procurement planning, publications, bidding, evaluation, and awards – see also Contract Review Sheets

No.	Observation	Review Ref
1	Planning	
i)	Delay in planning	All contracts
2	Publication	
i)	Minimum 30 days bidding period was not provided	Review 1-5
3	Bidding	
i)	RMSA Prescribed model document was not used	Review 1-5
4	Evaluation and Award	
(i)	None	

4. Findings on the Review of Contract Administration

Provide a brief description of findings on contract administration, including implementation, payments, and compliance – see also Contract Review Sheets

No.	Observation	Review Ref
1	Implementation	
i)	Substantial gap between the date of letter of Acceptance and Notice to Proceed with Work	Review 5
2	Payment	
i)	None	
3	Physical Inspection	
i)	Inspected 2 contracts out 7 contracts reviewed	Review 2 and 3

5. Indication of Possible Governance Issues

Provide a brief description of any findings on red flags that could lead to possible fraud or corruption related to the procurement processes, contract administration, or any other related issues at contract, project, sector, or country level]

None

6. Progress of Implementation of Recommendations in the Last Post Review

[brief description of the progress with regard to the recommendations and actions submitted in the last post review]

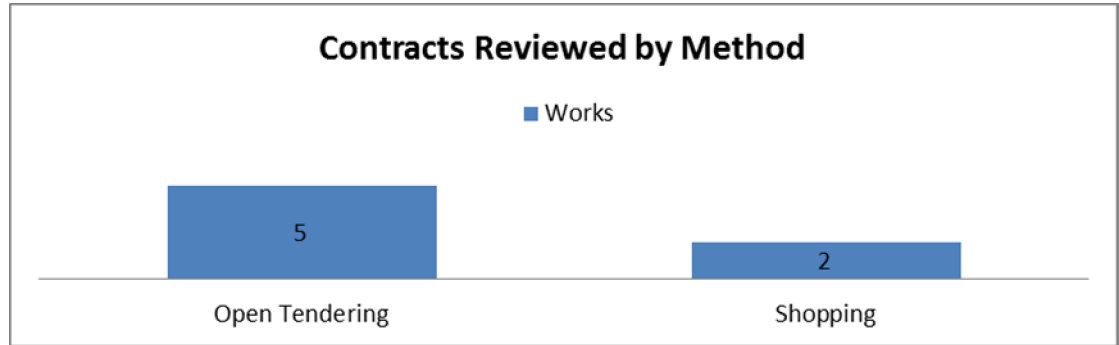
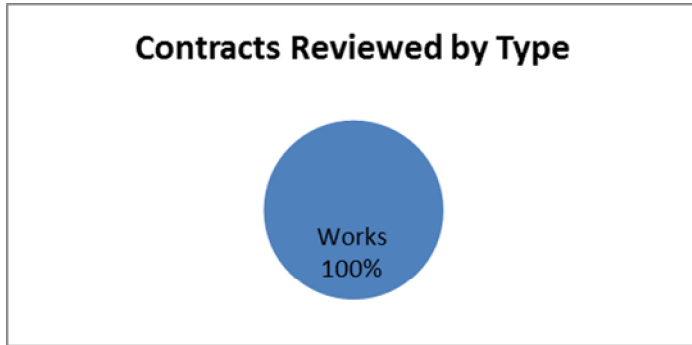
For Uttarakhand Component of RMSA, this is the first Post Procurement Review.

Annex 2: Procurement Performance Indicators

No	Indicator Name	Indicator	Measured by	Result
1	Advertisement of bids an publication of awards	Transparency and openness of system	Number of tenders (%) for which bid invitation and contract awarded results are publicly advertised <i>(Satisfactory: 95% or more)</i>	Satisfactory
2	Time for preparation of bids	Real Opportunity for bidders to submit bids	Number of days between invitation to bid and bid opening <i>(Satisfactory: 21 days or more)</i>	Unsatisfactory
3	Time for Bid evaluation	Efficiency of bidding process	Number of days between bid opening and publication of award <i>(Satisfactory: 90 days or less)</i>	Satisfactory
4	Bidder participation	Level of confidence by private sector in the process	Number of bidders submitting bids in each tendering process <i>(Satisfactory: 5 bids or more)</i>	Satisfactory
5	Method of procurement used	Level of competition	Number of bidding processes using a method less competitive than the process recommended for the estimated contract amount <i>(Satisfactory 1% or less)</i>	Satisfactory
6	Direct contracting/Single source selection	Transparency and level of competition	Percent of contracts (by number and value) awarded on a sole source/DC basis <i>(Satisfactory: 10% or less of number of contracts and 5% or less of total value of contracts)</i>	Satisfactory
7	Rebidding	Quality of bidding process	Number (%) of bid process going for rebidding <i>(Satisfactory: 5% or less)</i>	Satisfactory
8	Process Cancelled	Quality of bidding process	Number (%) of bid process declared null before contract signature <i>(Satisfactory: 5% or less)</i>	Satisfactory

No	Indicator Name	Indicator	Measured by	Result
9	Number of protests	Quality and fairness of process	Number of protests posted and the number of bids submitted <i>(Satisfactory: not less than 10% and not more than 50%)</i>	Satisfactory
10	Time to answer protests	Efficiency and fairness of protest system	Number of days between submission and final response to protests <i>(Satisfactory: 21 days or less)</i>	Satisfactory
11	Protest results	Effectiveness of protest system	Number (%) of contracts with award recommendation modified because of a protest <i>(Satisfactory: 5% or less)</i>	Satisfactory
12	Late payments	Quality and consistency of payment process	Number (%) of payments made more than 45 days late <i>(Satisfactory: 10% or less)</i>	Satisfactory
13	Procurement Staff availability, capacity and tenure	Availability and quality of staff	Period for which trained staff is available. <i>(Satisfactory: Till completion of all procurement activities)</i>	Satisfactory
14	Price increase	Quality of bidding and contract management	Percentage increase of final contract amount due to change and amendments <i>(Satisfactory: 15% or less)</i>	Satisfactory

Summary of Reviewed Contracts



Review No.	Description	Awardee	Amount (USD equiv)	Proc Type	Proc Method	Date of Award	No. Deviations (Major/Minor)	Compliance
1	Extension of Govt High School Laldhang and Government Inter College, Gandharikhet Block Bhadrabad, District Haridwar	Sher Singh Rawat, Rishikesh, Dehradun, India	\$90,012	Works	Open Tendering	7/14/2012	0/5	No
2	Extension of Govt High School Kedarwala, Block Vikasnagar, District Dehradun	Y K Jain, Vikas Nagar, Dehradun, India	\$39,531	Works	Open Tendering	6/15/2012	0/5	No
3	Construction of Govt High School Badripur, Block Vikasnagar, District Dehradun	Kothari Associates, Dehradun, India	\$50,902	Works	Open Tendering	7/19/2012	0/5	No
4	Construction of Govt High School Sarkot, Block Takula, District Almora	Kaira Constructions, Almora, Uttarakhand, India	\$44,608	Works	Open Tendering	9/29/2012	0/5	No
5	Construction of Govt Inter College at Phuban, Block Lamgara, District Almora	Prakash Chandra Pandey, Almora, Uttarakhand, India	\$65,493	Works	Open Tendering	12/2/2012	0/4	No

6	Construction of Toilet Block at Upper Primary School, Choli Village, Block Bagawanpur, District Haridwar	J M D Construction , Dehradun, India	\$8,529	Works	Shopping	11/15/2012	0/2	Yes
7	Construction of Toilet Block at Upper Primary School, Inayatpur Village, Block Bagawanpur, District Haridwar	Anand Kumar, Roorkee, Haridwar, India	\$8,308	Works	Shopping	8/27/2012	0/1	Yes

**Post
Review
Actions**

Procurement Process Risk Rating	Substantial
Contract Administration Risk Rating	Moderate

TO BE COMPLETED BY REVIEWER				TO BE COMPLETED BY BORROWER AGENCY			
No	Reviewer Observation/Finding	Reviewer Recommendation	Category	Action	Responsible Person	Est. Completion Date	Actual Completion Date
1	Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual]	Advised to provide minimum bidding period of 30 days as per Finance and Procurement Manual	Procurement Process	Noted and observed for all prospective procurements from the date of receipt of the report	RMSA Uttarakhand and their procurement agent (Irrigation Department Deposit division)	Immediate	

2	RMSA Model document was not used [Para 8.5.6 (f) of FM&P Manual]	Advised to use the prescribed model bidding document of RMSA, as the Irrigation department is using their departmental bid document to procure the Works. The MoU between RMSA and Irrigation Department stipulates the condition to adhere to the prescribed Financial and Procurement manual of RMSA (Para 23 of MoU dated 30 May 2013)	Procurement Process	Noted and observed for all prospective procurements from the date of receipt of the report	RMSA Uttarakhand and their procurement agent (Irrigation Department Deposit division)	Immediate
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Thresholds and Profile of Procurement Items

Prior Review Thresholds

Procurement Type	Threshold (USD)	Additional Threshold Criteria (if any)		
Goods	\$ 500,000			
Works	\$ 10,000,000			
Consulting Firms	\$ 300,000			
Individual Consultants	\$ 100,000			

Max. Contract Values for Procurement Methods Below ICB and QCBS (USD)

Procurement Method	Goods	Works	Consultants services	
			Firms	Individual
ICB				
NCB		< \$ 16,667		
Shopping				
DC				
QBS				
LCS/FBS				
CQS				
SSS				

Shortlist comprised of entirely national consultants (USD)

Comments on Applicable Prior Review Thresholds and Contract Values

Review 1 of 7

Date of Review:	12-Mar-14	Contractor:	Sher Singh Rawat, Rishikesh, Dehradun, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Extension of Govt High School Laldhang and Government Inter College, Gandharikhet Block Bhadrabad, District Haridwar
Contract Amt:	INR 4,950,677.00	Contract No./Date:	06/EE/2012-13 (14-Jul-12)
Est. USD Equiv:	\$90,012	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	No

General			
Review Number			1
Date of Review			3/12/2014
Contract Description			Extension of Govt High School Laldhang and Government Inter College, Gandharikhet Block Bhadrabad, District Haridwar
Contract Number			06/EE/2012-13
Contract Date			14-Jul-12
Procurement Category			Works
Name of Contractor			Sher Singh Rawat
City of Contractor			Rishikesh, Dehradun
Country of Contractor			India
Applied Procurement Method			Other
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$90,012
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			No

Bidding			
Question	Answer	Dev	
Was the procurement notice advertised in accordance with the loan agreement?	Yes		
Was the procurement method required as per the proc. plan used?	Yes		
Was prequalification used?	No		
Number of Bidding Documents Sold	3		

Number of Bids Received		3		1. Sher Singh Rawat, Rishikesh, Dehradun, India, INR 4,950,677 2. J M D Constructions, Dehradun, India, INR 4,961,571 3. Veerendra Singh, Dehradun, India, INR 5,035,795 Bidder 2 has offered 2% discount and price indicated above is after the discount
Number of Amendments to the Bidding Documents		0		
Date of Invitation for Bids		21-May-12		Bid preparation period: 25 days
Original Bid submission deadline (Revised: 15-Jun-12)		29-May-12 3:00 PM		
Number of Bid Submission Deadline Extensions		1		
Bid Submission Deadline Extensions (in days)		17 days		
Bid Validity Period (in days)		90 days		
Number of Bid Validity Period Extensions		0		
Bid Validity Extension Period (in days)		0 days		

Evaluation and Award				
Question		Answer		Dev
Record of Bid Opening on file and initialed (y/n)		Yes		
Bid opening Date		15-Jun-12		Technical Bids and financial bids were opened on 15 Jun 2012; Financial bids of only responsive technical bids were opened after verification of credentials inter alia, Similar Work of 50% of the estimated to cost and Bid Security;
Bid Evaluation Report on file (y/n)		Yes		
Bid Security / Bid Declaration on file (y/n)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	5,023,645		Awarded Contract varied from Estimate by -1.5%
Lowest Evaluated Bid Amount	INR	4,950,677		
Second Lowest Evaluated Bid Amount	INR	4,961,571		
Awarded Contract Amount	INR	4,950,677	Minor	
Type of Contract		w/o price adj. clause	Minor	Trigerred by PPR Module

Were all other required NCB Conditions respected?	No		<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of Finance and Procurement Manual] • RMSA Model document was not used [Para 8.5.6 (f)];
(Optional) Performance Security on file (y/n)	Yes		

Contract			
Question	Answer		Dev
Contract Award publicized properly in UNDB and dgMarket	No	Minor	Not Applicable
Construction Start Date as per Awarded Contract	15-Jul-12		
Actual Construction Start Date	15-Jul-12		
Completion Period as per Awarded Contract (in days)	180 days		
Number of Completion Period Extensions	1		
Duration of Completion Period Extensions (in days)	170 days		
Actual Substantial Completion Date (Comp. in Contract: 30-Jun-13)	28-Jun-13		
Advance Payment Amount	INR 0		
Bank Guarantee secured against Advance Payment (if applicable)?	Not required		
Percentage of Works Completed to Date	100%		
Contract Amount Paid as of Date of PPR	INR 5,643,449	Minor	<p>Certified amount of work based on the actual measurements recorded in the MB (MB Book No: 456 L Page No: 135 to 148);</p> <p>As per Excess Saving Statement, BoQ Item No: 10 (Fillings and Height of the plinth was increased) contributed to the increase;</p> <p>Paid progressively through 4 interim payments and a final payment</p>
Average Period from Payment Request to Payment Made (in days)	30 days		
Number of complaints on file (in Bank complaints database or with Borrower)	0		
Liquidated Damages Deducted from Payments (y/n)	Not required		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<ul style="list-style-type: none"> • <i>Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual]</i> • <i>RMSA model bid document for Open Tendering (NCB) was not</i>

		<i>used [Para 8.5.6 (f) of FM&P Manual];</i>
Indicators of possible F&C present?	No	

Comments		

Review 2 of 7

Date of Review:	12-Mar-14	Contractor:	Y K Jain, Vikas Nagar, Dehradun, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Extension of Govt High School Kedarwala, Block Vikasnagar, District Dehradun
Contract Amt:	INR 2,174,190.00	Contract No./Date:	08/EE/2012-13 (15-Jun-12)
Est. USD Equiv:	\$39,531	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	Yes

General			
Review Number			2
Date of Review			3/12/2014
Contract Description			Extension of Govt High School Kedarwala, Block Vikasnagar, District Dehradun
Contract Number			08/EE/2012-13
Contract Date			15-Jun-12
Procurement Category			Works
Name of Contractor			Y K Jain
City of Contractor			Vikas Nagar, Dehradun
Country of Contractor			India
Applied Procurement Method			Other
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$39,531
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			Yes

Bidding			
Question	Answer	Dev	
Was the procurement notice advertised in accordance with the loan agreement?	Yes		
Was the procurement method required as per the proc. plan used?	Yes		
Was prequalification used?	No		
Number of Bidding Documents Sold	4		

Number of Bids Received		6		<p>1. Y K Jain, Vikas Nagar, Dehradun, India, INR 2174190</p> <p>2. Rajan Kumar Gulani, Dehradun, India, INR 2287966</p> <p>3. Kothari Associates, Dehradun, India, INR 2288475</p> <p>4. Vinod Kumar Chauhan, Dehradun, India, INR 2479913</p> <p>5. Kushi Ram Tomar, Chakrata, Dehradun, India, INR Total Not mentioned</p> <p>6. (Vishnu Verma, Dehradun, India, INR Non Responsive Technically)</p> <p>Bidder 3 has offered 5.73% discount and price indicated above is after the discount</p> <p>Bidder 6: Evaluated as Technically non responsive and hence his financial bid was not opened</p>
Number of Amendments to the Bidding Documents		0		
Date of Invitation for Bids		25-Jun-12		Bid preparation period: 7 days
Bid submission deadline (date and time)		02-Jul-12 3:00 PM		
Number of Bid Submission Deadline Extensions		0		
Bid Submission Deadline Extensions (in days)		0 days		
Bid Validity Period (in days)		90 days		
Number of Bid Validity Period Extensions		0		
Bid Validity Extension Period (in days)		0 days		

Evaluation and Award				
Question		Answer		Dev
Record of Bid Opening on file and initialed (y/n)		Yes		
Bid opening Date		2-Jul-12		<p>Technical Bids and financial bids were opened on 2 Jul 2012;</p> <p>Financial bids of only responsive technical bids were opened after verification of credentials inter alia, Similar Work of 50% of the estimated to cost and Bid Security;</p>
Bid Evaluation Report on file (y/n)		Yes		
Bid Security / Bid Declaration on file (y/n)		Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	2,297,379		Awarded Contract varied from Estimate by -5.4%
Lowest Evaluated Bid Amount	INR	2,174,190		

Second Lowest Evaluated Bid Amount	INR	2,287,966		
Awarded Contract Amount	INR	2,174,190	Minor	
Type of Contract		w/o price adj. clause	Minor	Triggered by PPR Module
Were all other required NCB Conditions respected?		No		<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of Finance and Procurement Manual] • RMSA Model document was not used [Para 8.5.6 (f)];
(Optional) Performance Security on file (y/n)		Yes		

Contract				
Question		Answer		Dev
Contract Award publicized properly in UNDB and dgMarket		No	Minor	Not Applicable
Construction Start Date as per Awarded Contract		15-Jul-12		
Actual Construction Start Date		15-Jul-12		
Completion Period as per Awarded Contract (in days)		180 days		
Number of Completion Period Extensions		1		
Duration of Completion Period Extensions (in days)		48 days		
Actual Substantial Completion Date (Comp. in Contract: 28-Feb-13)		26-Feb-13		
Advance Payment Amount	INR	0		
Bank Guarantee secured against Advance Payment (if applicable)?		Not required		
Percentage of Works Completed to Date		100%		
Contract Amount Paid as of Date of PPR	INR	2,403,780	Minor	<p>Certified amount of work based on the actual measurements recorded in the MB (MB Book No: 440 L Page No: 159 to 184);</p> <p>As per the Excess Saving Statement, BoQ Item No: 17 and 18, 19 contributed to the excess payment;</p> <p>Paid progressively through 2 interim payments and a final payment</p>
Average Period from Payment Request to Payment Made (in days)		30 days		
Number of complaints on file (in Bank complaints database or with Borrower)		0		
Liquidated Damages Deducted from Payments (y/n)		Not required		
Contract Terminated (y/n)		No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<ul style="list-style-type: none"> • <i>Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual]</i> • <i>RMSA model bid document for Open Tendering (NCB) was not used [Para 8.5.6 (f) of FM&P Manual];</i>
Indicators of possible F&C present?	No	

Comments	

Physical Inspection		
Question	Answer	Dev
Name & Title of IA Representative at Location		Mr. Mohan Singh Bisht, RMSA Uttarakhand Mr. R D Pant, Assistant Engineer, Irrigation Department Mr. S P Saini, Principal Mr. Raj Narayan Mishra, Maths Teacher and other teachers
Location of Works as per procurement plan (yes/no)	Yes	
Contractual Start Date	15-Jul-12	
Actual Start Date	15-Jul-12	
Provisional Completion Date	28-Feb-13	
Final Completion Date	26-Feb-13	
Defects Liability Period Completion Date	25-Feb-14	1 Year
Occupancy Date	26-Feb-13	
Overall Quality of Workmanship vs. Tech Specs	Acceptable	
Overall Dimensions as per contract (area/volume/length)	36,380x8,460	1 Art & Craft Room; 1 Class Room Library; Computer Room and Science Lab
Overall Dimensions verified (area/volume/length)	36,380x8,460	As
Recorded Defects/ Deficiencies (y/n)	No	The Construction site was just in front of the pond and adequate measures to be taken to strengthen the embankment of the pond in order to avoid likely seepage; Also a rain drain to be constructed to protect the construction. Informed that these additional constructions were not envisaged and admissible under the project and hence not constructed;
Inventory / Asset Management Records In Use (y/n)	Yes	

Recorded Environmental Hazards (y/n)	No		
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Review 2: IMG_20140314_111156.jpg



Review 2: IMG_20140314_111844.jpg



Review 2: IMG_20140314_111929.jpg



Review 2: IMG_20140314_112916.jpg

Review 3 of 7

Date of Review:	12-Mar-14	Contractor:	Kothari Associates, Dehradun, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of Govt High School Badripur, Block Vikasnagar, District Dehradun
Contract Amt:	INR 2,799,618.00	Contract No./Date:	8/EE/2012-13 (19-Jul-12)
Est. USD Equiv:	\$50,902	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	Yes

General			
Review Number			3
Date of Review			3/12/2014
Contract Description			Construction of Govt High School Badripur, Block Vikasnagar, District Dehradun
Contract Number			8/EE/2012-13
Contract Date			19-Jul-12
Procurement Category			Works
Name of Contractor			Kothari Associates
City of Contractor			Dehradun
Country of Contractor			India
Applied Procurement Method			Other
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$50,902
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			Yes

Bidding			
Question	Answer		Dev
Was the procurement notice advertised in accordance with the loan agreement?	Yes		
Was the procurement method required as per the proc. plan used?	Yes		
Was prequalification used?	No		
Number of Bidding Documents Sold	7		

Number of Bids Received		7		<p>1. Kothari Associates, Dehradun, India, INR 2,799,618</p> <p>2. Y J Jain, Vikas Nagar, Dehradun, India, INR 2,802,310</p> <p>3. Rajan Kumar Gulani, Dehradun, India, INR 2,866,565</p> <p>4. Vinod Kumar Chauhan, Dehradun, India, INR 2,967,284</p> <p>5. Mukesh Kumar Agarwal, Haridwar, India, INR 2,997,749</p> <p>6. Keshav Ram, Dehradun, India, INR 3,084,670</p> <p>7. (Kushi Ram, Dehradun, India, INR Technically non responsive)</p> <p>Bidder 1: quoted 10.20%</p> <p>Bidder 3: offered 1.88%;</p> <p>Bidder 5: offered 8%;</p> <p>Bidder 7: Evaluated as Technically non responsive and hence his financial bid was not opened</p>
Number of Amendments to the Bidding Documents		0		
Date of Invitation for Bids		25-Jun-12		Bid preparation period: 7 days
Bid submission deadline (date and time)		02-Jul-12 3:00 PM		
Number of Bid Submission Deadline Extensions		0		
Bid Submission Deadline Extensions (in days)		0 days		
Bid Validity Period (in days)		90 days		
Number of Bid Validity Period Extensions		0		
Bid Validity Extension Period (in days)		0 days		

Evaluation and Award			
Question	Answer		Dev
Record of Bid Opening on file and initialed (y/n)	Yes		
Bid opening Date	2-Jul-12		<p>Technical Bids and financial bids were opened on 2 Jul 2012;</p> <p>Financial bids of only responsive technical bids were opened after verification of credentials inter alia, Similar Work of 50% of the estimated to cost and Bid Security;</p>
Bid Evaluation Report on file (y/n)	Yes		
Bid Security / Bid Declaration on file (y/n)	Yes		Stipulated INR 69,000

Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	2,952,592		Awarded Contract varied from Estimate by -5.2%
Lowest Evaluated Bid Amount	INR	2,799,618		
Second Lowest Evaluated Bid Amount	INR	2,802,310		
Awarded Contract Amount	INR	2,799,618	Minor	
Type of Contract		w/o price adj. clause	Minor	Triggered by PPR Module
Were all other required NCB Conditions respected?		No		<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of Finance and Procurement Manual] • RMSA Model document was not used [Para 8.5.6 (f)];
(Optional) Performance Security on file (y/n)		Yes		

Contract				
Question	Answer		Dev	
Contract Award publicized properly in UNDB and dgMarket	No	Minor	Not Applicable	
Construction Start Date as per Awarded Contract	19-Jul-12			
Actual Construction Start Date	19-Jul-12			
Completion Period as per Awarded Contract (in days)	180 days			
Number of Completion Period Extensions	1			
Duration of Completion Period Extensions (in days)	34 days			
Actual Substantial Completion Date (Comp. in Contract: 18-Feb-13)	18-Feb-13			
Advance Payment Amount	INR 0			
Bank Guarantee secured against Advance Payment (if applicable)?	Not required			
Percentage of Works Completed to Date	100%			
Contract Amount Paid as of Date of PPR	INR 3,184,696	Minor	<p>Certified amount of work based on the actual measurements recorded in the MB (MB Book No: 448 L Page No: 130 to 152);</p> <p>As per the Excess Saving Statement, BoQ Item No: 5 RCC Works and 8, 10, 11, and 18 contributed to the excess payment;</p> <p>Paid progressively through 2 interim payments and a final payment</p>	
Average Period from Payment Request to Payment Made (in days)	30 days			
Number of complaints on file (in Bank complaints database or with Borrower)	0			

Liquidated Damages Deducted from Payments (y/n)	Not required		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual] • RMSA model bid document for Open Tendering (NCB) was not used [Para 8.5.6 (f) of FM&P Manual];
Indicators of possible F&C present?	No	

Comments	

Physical Inspection		
Question	Answer	Dev
Name & Title of IA Representative at Location		Mr. Mohan Singh Bisht, RMSA Uttarakhand Mr. R D Pant, Assistant Engineer, Irrigation Department Dr. B K Mathur, Principal
Location of Works as per procurement plan (yes/no)	Yes	
Contractual Start Date	19-Jul-12	
Actual Start Date	19-Jul-12	
Provisional Completion Date	18-Feb-13	
Final Completion Date	18-Feb-13	
Defects Liability Period Completion Date	17-Feb-14	1 Year
Occupancy Date	18-Feb-13	
Overall Quality of Workmanship vs. Tech Specs	Acceptable	
Overall Dimensions as per contract (area/volume/length)	52840x8960	1 Art & Craft Room; 3 Class Rooms Library; Computer Room and Science Lab
Overall Dimensions verified (area/volume/length)	52840x8960	As above
Recorded Defects/ Deficiencies (y/n)	No	An extension joint was not envisaged and resulted in minor cracks. After noticing this defects, an extension joint was provided and completed.
Inventory / Asset Management Records In Use (y/n)	Yes	
Recorded Environmental Hazards (y/n)	No	



Review 3: IMG_20140314_100710.jpg



Review 3: IMG_20140314_101215.jpg



Review 3: IMG_20140314_101407.jpg



Review 3: IMG_20140314_101702.jpg



Review 3: IMG_20140314_101726.jpg



Review 3: IMG_20140314_102455.jpg



Review 3: IMG_20140314_102721.jpg



Review 3: IMG_20140314_101447.jpg



Review 3: IMG_20140314_100710.jpg

Review 4 of 7

Date of Review:	12-Mar-14	Contractor:	Kaira Constructions, Almora, Uttarakhand, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of Govt High School Sarkot, Block Takula, District Almora
Contract Amt:	INR 2,453,420.00	Contract No./Date:	10/EE/2012-13 (29-Sep-12)
Est. USD Equiv:	\$44,608	Proc. Type:	Works - Open Tendering
Compliant:	No (4 deviations)	Physical Inspection:	No

General			
Review Number			4
Date of Review			3/12/2014
Contract Description			Construction of Govt High School Sarkot, Block Takula, District Almora
Contract Number			10/EE/2012-13
Contract Date			29-Sep-12
Procurement Category			Works
Name of Contractor			Kaira Constructions
City of Contractor			Almora, Uttarakhand
Country of Contractor			India
Applied Procurement Method			Other
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$44,608
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement notice advertised in accordance with the loan agreement?	Yes		
Was the procurement method required as per the proc. plan used?	Yes		
Was prequalification used?	No		
Number of Bidding Documents Sold	1		As informed, the remote construction site may be the reason for sale of single bid document
Number of Bids Received	1		1. Kaira Constructions, Almora, India, INR 2,453,420 Indicated above is after rebate of 1%
Number of Amendments to the Bidding Documents	0		
Date of Invitation for Bids	29-Jul-12		Bid preparation period: 13 days

Bid submission deadline (date and time)	11-Aug-12 3:00 PM		
Number of Bid Submission Deadline Extensions	0		
Bid Submission Deadline Extensions (in days)	0 days		
Bid Validity Period (in days)	90 days		
Number of Bid Validity Period Extensions	0		
Bid Validity Extension Period (in days)	0 days		

Evaluation and Award			
Question	Answer		Dev
Record of Bid Opening on file and initialed (y/n)	Yes		
Bid opening Date	13-Aug-12	Minor	Technical Bid and financial bid were opened on 13 Aug 2012; Financial bid was opened only after technical bid was evaluated to be responsive inter alia, similar work of 50% of the estimated to cost and Bid Security;
Bid Evaluation Report on file (y/n)	Yes		
Bid Security / Bid Declaration on file (y/n)	Yes		
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR 2,475,288		Awarded Contract varied from Estimate by -0.9%
Lowest Evaluated Bid Amount	INR 2,453,420		
Second Lowest Evaluated Bid Amount	INR		Only one bid was received
Awarded Contract Amount	INR 2,453,420	Minor	
Type of Contract	w/o price adj. clause	Minor	Triggered by PPR Module
Were all other required NCB Conditions respected?	No		<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of Finance and Procurement Manual] • RMSA Model document was not used [Para 8.5.6 (f)];
(Optional) Performance Security on file (y/n)	Yes		

Contract			
Question	Answer		Dev
Contract Award publicized properly in UNDB and dgMarket	No	Minor	Not Applicable
Construction Start Date as per Awarded Contract	25-Sep-12		
Actual Construction Start Date	25-Sep-12		

Completion Period as per Awarded Contract (in days)	240 days		
Number of Completion Period Extensions	1		
Duration of Completion Period Extensions (in days)	60 days		
Actual Substantial Completion Date (Comp. in Contract: 22-Jul-13)	20-Jul-13		
Advance Payment Amount	INR 0		
Bank Guarantee secured against Advance Payment (if applicable)?	Not required		
Percentage of Works Completed to Date	100%		
Contract Amount Paid as of Date of PPR	INR 2,491,245		Paid progressively through 3 interim payments and a final payment
Average Period from Payment Request to Payment Made (in days)	30 days		
Number of complaints on file (in Bank complaints database or with Borrower)	0		
Liquidated Damages Deducted from Payments (y/n)	Not required		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual] • RMSA model bid document for Open Tendering (NCB) was not used [Para 8.5.6 (f) of FM&P Manual];
Indicators of possible F&C present?	No	

Comments	

Review 5 of 7

Date of Review:	12-Mar-14	Contractor:	Prakash Chandra Pandey, Almora, Uttarakhand, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of Govt Inter College at Phuban, Block Lamgara, District Almora
Contract Amt:	INR 3,602,103.00	Contract No./Date:	14/EE/2012-13 (02-Dec-12)
Est. USD Equiv:	\$65,493	Proc. Type:	Works - Open Tendering
Compliant:	No (3 deviations)	Physical Inspection:	No

General			
Review Number			5
Date of Review			3/12/2014
Contract Description			Construction of Govt Inter College at Phuban, Block Lamgara, District Almora
Contract Number			14/EE/2012-13
Contract Date			2-Dec-12
Procurement Category			Works
Name of Contractor			Prakash Chandra Pandey
City of Contractor			Almora, Uttarakhand
Country of Contractor			India
Applied Procurement Method			Other
If selected "Other" in Applied Procurement Method, define			Open Tendering
US Equiv			\$65,493
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			No

Bidding			
Question	Answer		Dev
Was the procurement notice advertised in accordance with the loan agreement?	Yes		
Was the procurement method required as per the proc. plan used?	Yes		
Was prequalification used?	No		
Number of Bidding Documents Sold	3		

Number of Bids Received		3		1. Prakash Chandra Pandey, Almora, Uttarakhand, India, INR 3,602,103 2. Kaira Constructions, Almora, Uttarakhand, India, INR 3,873,691 3. (Mohan Singh Singania, Almora, Uttarakhand, India, INR) Bidder 3: Evaluated as Technically non responsive and hence his financial bid was not opened
Number of Amendments to the Bidding Documents		0		
Date of Invitation for Bids		25-Jun-12		Bid preparation period: 14 days
Bid submission deadline (date and time)		09-Jul-12 3:00 PM		
Number of Bid Submission Deadline Extensions		0		
Bid Submission Deadline Extensions (in days)		0 days		
Bid Validity Period (in days)		90 days		LoA was issued on 14 Jul 2012 within the original validity
Number of Bid Validity Period Extensions		0		
Bid Validity Extension Period (in days)		0 days		

Evaluation and Award				
Question		Answer		Dev
Record of Bid Opening on file and initialed (y/n)		Yes		
Bid opening Date		9-Jul-12		Technical Bids and financial bids were opened on 9 Jul 2012; Financial bids of only responsive technical bids were opened after verification of credentials inter alias, Similar Work of 50% of the estimated to cost and Bid Security;
Bid Evaluation Report on file (y/n)		Yes		
Bid Security / Bid Declaration on file (y/n)				
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	3,619,449		Awarded Contract varied from Estimate by -0.5%
Lowest Evaluated Bid Amount	INR	3,602,103		
Second Lowest Evaluated Bid Amount	INR	3,873,691		
Awarded Contract Amount	INR	3,602,103	Minor	Substantial time difference between the Letter of Acceptance (LoA: 14 Jul 2012) to Notice to Proceed with Work (3 Dec 2012); Informend that the delay in handing over the site designated for construction

Type of Contract	w/o price adj. clause	Minor	Triggered by PPR Module
Were all other required NCB Conditions respected?	No		<ul style="list-style-type: none"> • Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of Finance and Procurement Manual] • RMSA Model document was not used [Para 8.5.6 (f)];
(Optional) Performance Security on file (y/n)	Yes		

Contract			
Question	Answer	Minor	Dev
Contract Award publicized properly in UNDB and dgMarket	No	Minor	Not Applicable
Construction Start Date as per Awarded Contract	3-Dec-12		
Actual Construction Start Date	3-Dec-12		
Completion Period as per Awarded Contract (in days)	240 days		
Number of Completion Period Extensions	1		
Duration of Completion Period Extensions (in days)	62 days		
Actual Substantial Completion Date (Comp. in Contract: 01-Oct-13)	1-Oct-13		
Advance Payment Amount	INR 0		
Bank Guarantee secured against Advance Payment (if applicable)?	Not required		
Percentage of Works Completed to Date	100%		
Contract Amount Paid as of Date of PPR	INR 3,607,784		
Average Period from Payment Request to Payment Made (in days)	30 days		
Number of complaints on file (in Bank complaints database or with Borrower)	0		
Liquidated Damages Deducted from Payments (y/n)	Not required		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	No	<ul style="list-style-type: none"> • <i>Minimum 30 days bidding period was not provided [Para 8.5.5 (f) of FM&P Manual]</i> • <i>RMSA model bid document for Open Tendering (NCB) was not used [Para 8.5.6 (f) of FM&P Manual];</i>
Indicators of possible F&C present?	No	

Comments
• Substantial gap between the date of letter of Acceptance and Notice to Proceed with Work

Review 6 of 7

Date of Review:	13-Mar-14	Contractor:	J M D Construction , Dehradun, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of Toilet Block at Upper Primary School, Choli Village, Block Bagawanpur, District Haridwar
Contract Amt:	INR 469,117.00	Contract No./Date:	10/AEIII/2012-13 (15-Nov-12)
Est. USD Equiv:	\$8,529	Proc. Type:	Works - Shopping
Compliant:	Yes (0 deviations)	Physical Inspection:	No

General			
Review Number			6
Date of Review			3/13/2014
Contract Description			Construction of Toilet Block at Upper Primary School, Choli Village, Block Bagawanpur, District Haridwar
Contract Number			10/AEIII/2012-13
Contract Date			15-Nov-12
Procurement Category			Works
Name of Contractor			J M D Construction
City of Contractor			Dehradun
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			
US Equiv			\$8,529
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			No

Bidding			
Question	Answer	Dev	
Was the procurement method required as per the proc. plan used?	Yes		
Number of RFQs Sent Out	3		
Number of Quotations Received	3		1. J M D Construction , Dehradun, India, INR 469,117 2. Anand Kumar Goyal, Roorkee, Haridwar, India, INR 475,796 3. Anuj Kumar, Roorkee, Haridwar, India, INR 476,063
Number of Amendments to the Request for Quotation	0		
Date of Invitation for Quotations	7-Aug-12		Quotation preparation period: 18 days
Quotation submission deadline (date and time)	25-Aug-12 3:00 PM		

Number of Quotation Submission Deadline Extensions	0		
Quotation Submission Deadline Extensions (in days)	0 days		
Quotation Validity Period (in days) (Optional)	90 days		
Number of Quotation Validity Period Extensions (Optional)	0		
Quotation Validity Extension Period (in days) (Optional)	0 days		

Evaluation and Award			
Question		Answer	Dev
Quotation opening Date		25-Aug-12	
Quotation Evaluation Report on file (y/n)		Yes	
If required, was Quotation Security on file (y/n/not required)		Yes	
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	487,216	Awarded Contract varied from Estimate by -3.7%
Lowest Evaluated Quotation Amount	INR	469,117.00	
Second Lowest Evaluated Quotation Amount	INR	475,796.00	
Awarded Contract Amount	INR	469,117.00	Minor
(Optional) Performance Security on file (y/n)		Yes	

Contract			
Question		Answer	Dev
Construction Start Date as per Awarded Contract		15-Nov-12	
Actual Construction Start Date		15-Nov-12	
Completion Period as per Awarded Contract (in days)		90 days	
Number of Completion Period Extensions		0	
Duration of Completion Period Extensions (in days)		0 days	
Actual Substantial Completion Date (Comp. in Contract: 13-Feb-13)		23-Jan-13	
Advance Payment Amount	INR	0	
Bank Guarantee secured against Advance Payment (if applicable)?		Not required	
Percentage of Works Completed to Date		100%	
Contract Amount Paid as of Date of PPR	INR	499,803	Minor
Average Period from Payment Request to Payment Made (in days)		20 days	
Number of complaints on file (in Bank		0	

complaints database or with Borrower)			
(Optional) Liquidated Damages Deducted from Payments (y/n)	Not required		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	Yes	
Indicators of possible F&C present?	No	

Comments	

Review 7 of 7

Date of Review:	13-Mar-14	Contractor:	Anand Kumar, Roorkee, Haridwar, India
Reviewer:	Global Procurement Consultants Limited	Contract Desc:	Construction of Toilet Block at Upper Primary School, Inayatpur Village, Block Bagawanpur, District Haridwar
Contract Amt:	INR 456,936.00	Contract No./Date:	05/AEIII/2012-13 (27-Aug-12)
Est. USD Equiv:	\$8,308	Proc. Type:	Works - Shopping
Compliant:	Yes (0 deviations)	Physical Inspection:	No

General			
Review Number			7
Date of Review			3/13/2014
Contract Description			Construction of Toilet Block at Upper Primary School, Inayatpur Village, Block Bagawanpur, District Haridwar
Contract Number			05/AEIII/2012-13
Contract Date			27-Aug-12
Procurement Category			Works
Name of Contractor			Anand Kumar
City of Contractor			Roorkee, Haridwar
Country of Contractor			India
Applied Procurement Method			Shopping
If selected "Other" in Applied Procurement Method, define			
US Equiv			\$8,308
Reviewer			Global Procurement Consultants Limited
Date review form generated			3/12/2014
Physical Inspection			No

Bidding			
Question	Answer	Dev	
Was the procurement method required as per the proc. plan used?	Yes		
Number of RFQs Sent Out	3		
Number of Quotations Received	3		1. Anand Kumar, Roorkee, Haridwar, India, INR 456,936 2. Anjuk Kumar, Roorkee, Haridwar, India, INR 463,996 3. JMD Construction, Dehradun, India, INR 466,330
Number of Amendments to the Request for Quotation	0		
Date of Invitation for Quotations	7-Aug-12		Quotation preparation period: 18 days
Quotation submission deadline (date and time)	25-Aug-12 3:00 PM		

Number of Quotation Submission Deadline Extensions	0		
Quotation Submission Deadline Extensions (in days)	0 days		
Quotation Validity Period (in days) (Optional)	90 days		
Number of Quotation Validity Period Extensions (Optional)	0		
Quotation Validity Extension Period (in days) (Optional)	0 days		

Evaluation and Award			
Question		Answer	Dev
Quotation opening Date		25-Aug-12	
Quotation Evaluation Report on file (y/n)		Yes	
If required, was Quotation Security on file (y/n/not required)		Yes	
Est. Contract Amount from Procurement Plan (enter 0 if not in plan)	INR	474,643	Awarded Contract varied from Estimate by -3.7%
Lowest Evaluated Quotation Amount	INR	456,936.00	
Second Lowest Evaluated Quotation Amount	INR	463,996.00	
Awarded Contract Amount	INR	456,936.00	Minor
(Optional) Performance Security on file (y/n)		Yes	

Contract			
Question		Answer	Dev
Construction Start Date as per Awarded Contract		27-Aug-12	
Actual Construction Start Date		27-Aug-12	
Completion Period as per Awarded Contract (in days)		90 days	
Number of Completion Period Extensions		0	
Duration of Completion Period Extensions (in days)		0 days	
Actual Substantial Completion Date (Comp. in Contract: 25-Nov-12)		21-Oct-12	
Advance Payment Amount	INR	0	
Bank Guarantee secured against Advance Payment (if applicable)?		Not required	
Percentage of Works Completed to Date		100%	
Contract Amount Paid as of Date of PPR	INR	424,885	
Average Period from Payment Request to Payment Made (in days)		20 days	
Number of complaints on file (in Bank		0	

complaints database or with Borrower)			
(Optional) Liquidated Damages Deducted from Payments (y/n)	Not required		
Contract Terminated (y/n)	No		

Summary		
Question	Answer	Comments
Compliance with Bank Guidelines and procedures	Yes	
Indicators of possible F&C present?	No	

Comments	

Annex A

Definitions of Post Review Compliance/Performance Risk Ratings:

Low Compliance/ Performance Risk	Borrower procurement processes, and/or contract administration are of highest quality, reliability, timeliness, and transparency, and required little or no corrective action needed by the Bank
Moderate Compliance/ Performance Risk	Borrower procurement processes, and/or contract administration are of generally good quality, reliability, timeliness, and transparency with minor corrective actions needed by the Bank
Substantial Compliance/ Performance Risk	Moderate shortcomings in Borrower procurement processes, and/or contract administration have limited or jeopardized the timely or efficient achievement of one or more major outputs, but resolution(s) is/are likely
High Compliance/ Performance Risk	Significant shortcomings in Borrower procurement processes, and/or contract administration have limited or jeopardized the timely or efficient achievement of one or more major outputs, and resolution is uncertain or unlikely

Compliance¹ Risk Rating of Procurement Processes:

(Indicators to consider:

- **Procurement Planning:** Assessment of quality of, and adherence to, the procurement plan, including changes/updates if applicable
- **Publications:** Assessment of quality of, and adherence to, advertising and contract award publication requirements for applicable contracts
- **Bidding:** Assessment of quality of, and adherence to, requirements for bidding documents, including RFP's, LOI's, short lists, terms of reference, and other applicable documents
- **Evaluation:** Assessment of quality of, and adherence to, bid evaluation requirements/criteria, including draft contracts, technical and financial evaluation reports, and bid amendments, if applicable
- **Awards:** Assessment of quality of, and adherence to, contract award requirements, including amendments, variation orders, and extensions)

Performance² Risk Rating of Contract Administration:

(Indicators to consider:

- **Implementation:** Assessment of quality of, and adherence to, contract implementation criteria, including results of physical inspections
- **Payments:** Assessment of adherence to contract payment schedules, including timeliness of payments to contractors
- **Compliance:** Assessment of adherence to all contractual compliance with agreed provisions; adherence to all related anti-corruption practices)
- **Record-keeping:** Availability, quality, security and completeness of contract records and files

¹ Compliance in accordance with the Legal Agreement, Procurement Guidelines and other agreed provisions

² Performance of executing agency in implementing the contract