



**MINISTRY OF HUMAN RESOURCE DEVELOPMENT  
DEPARTMENT OF HIGHER EDUCATION**



**AGENDA  
MEETING OF THE PROJECT APPROVAL BOARD (PAB)**

**12<sup>th</sup> March 2014**

**New Delhi**



COMPOSITION OF PROJECT APPROVAL BOARD, RUSA

	Secretary, Higher Education, MHRD	-	Chairperson
	Chairperson, UGC	-	Co-Chairman
	Chairperson, AICTE	-	Member
	Secretary, UGC	-	Member
	Chairperson, State Higher Education Council (of the concerned State whose plans are to be considered)	-	Member
	Secretary, Higher Education of the State concerned	-	Member
	Secretary, Technical Education of the State concerned	-	Member
	Prof. Shailendra Mehta, Visiting Professor, IIM- Ahmed- abad	-	Member
	Prof. B Venkatesh Kumar, Professor & Chairperson, Cen- tre for Governance and Public Policy, TISS	-	Member
	Financial Advisor in MHRD	-	Member
	Advisor (Higher Education), Planning Commission	-	Member
	Joint Secretary (HE) & National Mission Director	-	Member – Convener

<b>AGENDA</b>		
<b>SL. No.</b>	<b>ITEM</b>	<b>PAGES</b>
1.	Action Taken on the Items of PAB dated 6 <sup>th</sup> November 2013	4-5
2.	Preparatory grants for capacity building for new nine participating states - for consideration and approval	6
3.	Consideration of additional Model Degree Colleges	7
4.	Preparation of DPR for MIS	8
5.	Status of implementation of RUSA in the States – for information of PAB	8

<b>ANNEXURES</b>		
<b>SL. No.</b>	<b>ANNEXURE</b>	<b>PAGE</b>
1.	Status Report on needs assessment and strategic planning for Leadership Development by TISS	13
2.	Status Report National Quality Renaissance Initiative by NAAC	16
3.	Summary sheet of eligibility of States for preparatory grants	17
4.	Summary sheet of Model Degree Colleges	18

# AGENDA ITEMS

## Item 1 Action Taken on the Items of PAB dated 6<sup>th</sup> November 2013

The first meeting of the Project Approval Board was held on 6<sup>th</sup> November 2013 with the Secretary (Higher Education) in the Chair. Action taken on the PAB decisions is as follows.

Sl. No.	Decision	Action
i.	<p>(a) Release of second installment amounting to Rs. 49.365 crores for 31 institutions. The funds would be released directly to the States/Universities (as the case may be) as had been done in the erstwhile Scheme</p> <p>(b) Release of the 50% Central Share to 56 new MDCs as first installment amounting to Rs. 218.40 crores. These funds would be released to the States.</p>	<p>(a) Either the UCs have not been received/received incomplete/not in prescribed format from the States of Punjab, Karnataka, Maharashtra, and Assam. Hence, no release of second instalment has taken place as yet.</p> <p>(b) Rs. 25.558 crores to Andhra Pradesh, Rs. 31.2 crores to Odisha has been released. Rs. 101.4 crores to Uttar Pradesh is in the process of being released. DPR from the States of Kerala was examined and found deficient and referred back to the State. No DPR from Karnataka has been received</p>
iii.	<p>The PAB approved the release of the first installment of preparatory grants amounting to Rs. 47.05 crores as Central share for 13 States and 04 UTs</p>	<p>An amount of 45.1 crores has been released for 13 States and 3UTs. The process of release of grants to Chandigarh is under process.</p>

	In case of Jammu & Kashmir, it was decided that funds will be released only after the State fulfills the condition of submitting undertaking and a checklist.	J&K has submitted its checklist and undertaking and <b>preparatory grants of Rs. 3.6 crores has since been released.</b>
iv.	Timelines for implementation approved.	These have since been communicated to the States. However on the request of some States in the RUSA Mission Authority meeting on 8 <sup>th</sup> January, 2014, the deadline for submission of State Higher Education Plans was extended to 31 <sup>st</sup> January 2014.
v.	TISS proposal for Capacity Building and Leadership Development was approved along with release of Rs. 1 crore (out of Rs. 2.03 crores) as first installment.	Rs. 1 crore as first instalment for the activity has since been released to TISS. Action Taken Note by TISS is placed at <b>Annexure I.</b>
vi.	The MoA with TISS for three months, extendable by another three months for setting up a TSG was approved with a monthly expenditure of Rs.15 lakhs.	The MoA has since been extended by another three months (ending April 2014) with the approval of the competent authority.
vii.	National Quality Renaissance Initiative by NAAC was approved with an allocation of Rs. 17 crores for the current Plan period and an amount of Rs. 4 crores for the current year.	An amount of Rs. 4 crores as first instalment has since been released to NAAC. Action Taken Note by NAAC is placed at <b>Annexure II.</b>
viii.	NIC proposal for preparation of a DPR for MIS at a cost of Rs. 16.85 lakhs was approved.	Letter of Authority for the amount has since been issued to NIC. However this is now proposed to be withdrawn. Details discussed in <b>Item 3.</b>

## **Item 2      Preparatory grants for capacity building for States**

As per Cabinet approval, expenditure on the new components can only be incurred from the Financial Year 2014-15. Hence, during the financial year 2013-14, only ongoing schemes and preparatory activities will be supported.

Under the component “Capacity Building and Preparation, Data Collection and Planning” funds are proposed to be released to States and UTs (including State share) to undertake various activities. The deadline for the States to respond by submitting a checklist and an undertaking to fulfil the prerequisites had been fixed as 31<sup>st</sup> October, 2013.

Seven States (**Goa, Uttarakhand, Arunachal Pradesh, Haryana, Jharkhand, West Bengal and Tripura**) submitted the checklists and undertakings after the deadline (31<sup>st</sup> October, 2013). The poll bound States (Delhi, Rajasthan, Mizoram, Chhattisgarh and Madhya Pradesh) were addressed separately in December, 2013 and asked to submit their undertaking and checklist by 31<sup>st</sup> December, 2013. Only two States (**Mizoram and Chhattisgarh**) have responded and submitted their checklist and undertaking.

The cases of all these nine States were placed before the RUSA Mission Authority in its meeting on 8<sup>th</sup> January, 2014 for consideration. The Mission Authority gave its in-principle approval for these nine States to be eligible for consideration by the PAB. The cases of these States have been evaluated by the TSG and they have been found to be eligible to receive 50% of the preparatory activity amount due to them. The Central share works out to Rs. 23.40 crores. The summary sheet with eligibility of the States is placed at **Annexure III**. An amount of Rs. 23.40 crores has accordingly been released to these States with approval of Secretary (HE) on file.

### **Decision Sought**

**The Project Approval Board may give post-facto approval to these proposals for release of funds to the nine States which are detailed in Annexure III.**

### **Item 3 Request for additional Model Degree Colleges for consideration**

Tripura has submitted proposals for the establishment of new Model Degree Colleges (MDC) in the following Educationally Backward Districts (EBDs) – North Tripura, South Tripura and Dhalai. The DPRs have been examined by the TSG and found to be in order. Hence these three proposals can be approved.

A&N Islands has submitted a proposal for establishment of a MDC in South Andaman district. The district is not included in the list of EBDs in A&N Islands prepared for the Model Degree College scheme. The district has been carved out of Andaman district (in 2009), which is an EBD. No MDC has been established in either the erstwhile or new districts. Hence it can be approved.

Details of the four above-mentioned EBDs are in **Annexure IV**.

#### **Decision sought**

**The Project Approval Board may consider and approve these four proposals for MDCs for further release of funds as detailed in Annexure IV.**

### **Item 4 Preparation of DPR for MIS**

In the last PAB meeting, an amount of Rs. 16.85 lakhs was approved for NIC for preparation of a DPR for the MIS. As per the approval of the PAB, a Letter of Authority (LoA) was issued to NIC. However no development in this regard has been reported from NIC as yet. In the meantime, Secretary, Department of Electronics & IT (DeitY) was addressed with a request to suggest suitable agency/agencies, for designing and implementing RUSA on a turnkey basis. The Secretary in his response has suggested the name of ERNET, the National Education and Research Network, an autonomous scientific society under DeitY, which is specifically mandated to cater to the ICT requirements of Education and Research domain for consideration. Accordingly

ERNET has been asked to submit a proposal with cost and technical details for preparation of a DPR and service requirement specifications (SRS).

### **Decision sought**

**In light of the above, it is for consideration of PAB that the LoA issued to NIC may be withdrawn and proposal of ERNET, as and when received, may be placed for consideration of the PAB at its next meeting**

## **Item 5      Status of implementation of RUSA in the States – for information of PAB**

### ***Status of State Higher Education Plans***

Following the RUSA Mission Authority's decision to extend the deadline of submitting State Higher Education Plans (SHEP) upto 31<sup>st</sup> January 2014, 8 states have submitted their proposals till date. The SHEPs from states of **Himachal Pradesh, Haryana, Punjab, Nagaland and Jammu and Kashmir** have been received and appraised by the Technical Support Group (TSG). Observations of the TSG have been communicated to these states with requests to address these observations and re-submit the higher education plans in the Data Capture formats (DCF) that have been shared with the states. The SHEPs from **Gujarat, Manipur and Uttarkhand** have been received and are under appraisal.

### ***Status of State Higher Education Councils***

Subsequent to the states giving their undertaking to establish State Higher Education Councils (SHEC) as part of the pre-requisite under RUSA, the states of **Manipur, Assam, Chhattisgarh, Punjab, Himachal Pradesh, Jammu and Kashmir, Andaman and Nicobar, Goa, Mizoram, Bihar, Haryana, Tripura and Uttarakhand** have recently formed the SHEC. All these SHECs have been formed by an executive order, with a commitment to establish the SHECs through an Act of the State Legislature.

West Bengal, Karnataka, Tamil Nadu, Andhra Pradesh, Kerala, Maharashtra, and Uttar Pradesh already had SHECs, which have been established SHECs through an Act of Legislature, while Gujarat has set up the Gujarat Knowledge Consortia through an executive order.



### ***Status of Model Degree Colleges proposals***

Apart from the Model Degree College (MDC) proposals placed before the PAB for consideration, 12 more proposals from Uttar Pradesh have been received. These proposals are under appraisal by the TSG.

The TSG has also received a proposal from Nagaland for establishing a MDC. However, on examination it was found that this proposal pertains to a MDC already in existence. Hence the proposal was rejected.

### ***Status of UCs received from states***

Utilisation Certificates (UC) have been received for the first installment of payment for setting of MDCs from 3 states namely- **Assam, Maharashtra and Punjab**. None of the UCs have been found to be in order. No UC has not been submitted by the State of **Karnataka**. The progress of establishment of an MDC was put in the back burner due to challenges in land procurement. However, recently the Department of Higher Education has identified a site. The matter has been taken up with the concerned States.

## **Item 6 Presentation by States on SHEPs already submitted (15 minutes each)**

1. Himachal Pradesh
2. Haryana
3. Punjab
4. Nagaland
5. Jammu & Kashmir
6. Gujarat
7. Manipur
8. Uttarakhand

## **Item 7 Any other item, with the permission of the chair**

## Status Report on Needs Assessment and Strategic Planning for Leadership Development by TISS

As a part of the leadership development program, TISS had submitted a proposal to a needs assessment and strategic planning under RUSA. For the Higher Education Leadership Development Program under RUSA, TISS had proposed to conduct 8 regional conclaves for needs assessment and strategic planning for RUSA. One of the twin basic elements envisaged for the conclaves was dissemination of information on RUSA, its components and guidelines. The other element being, assessment of academic leadership needs in a participatory and interactive forum from multiple stakeholders.

Till date five leadership conclaves have been successfully organized and 3 more are in pipeline. In addition, forums offered by workshops organized by state government of Goa and Bihar were leveraged to achieve objectives of needs assessment and strategic planning exercise. Following are the details of the organized conclaves:

<b>Details of the conclaves</b>			
<b>Date</b>	<b>States consulted</b>	<b>Participants</b>	<b>Organized in association with</b>
15.01.2014	West Bengal	53	University of Calcutta
24.01.2014	Orissa	60	Government of Orissa
17&18.01.2014	Assam, Arunachal Pradesh, Manipur, Mizoram, Nagaland, Tripura	80	-
20.02.2014	Goa	124	Government of Goa
21&22.01.2014	Bihar	100	Government of Bihar

### **Stakeholders involved:**

Vice Chancellors, Pro-Vice Chancellors, Registrars of universities, Principals and Vice Principals of colleges, young and senior faculties as well as the representatives from higher education departments of various state governments.

### **Methodology:**

For dissemination of information on RUSA, its components and guidelines, the adopted method was to make a presentation on RUSA followed by open discussion which gave an opportunity for all participants to ask questions and seek clarifications.

Consultations on needs assessment and strategic planning for higher education leadership development academy were conducted in the form of interactive discussions as well as focused group discussions with multiple stakeholders through break out sessions. This was followed by presentation by group leaders. The proceedings and outcome of the discussions were documented and are being compiled in the form of report.

### **Outcome:**

As an outcome of these conclaves focused inputs on content for training of multiple levels of leadership positions of academic leaders and administrators under higher education leadership program were gathered. The feedback on content of training to tackle region specific leadership challenges faced by academic leaders was garnered. In addition, focused inputs on feasible delivery mechanism for conducting the leadership training as well as qualifications framework for various positions of academic leaders were obtained.

## Status Report National Quality Renaissance Initiative by NAAC

NAAC submitted a proposal titled **National Quality Renaissance Initiative (NQRI)** to the MHRD for funding under RUSA. The proposal was approved by RUSA Project Approval Board on 6<sup>th</sup> November 2013 with a total outlay of Rs.17.00 Crore under three subheads namely:

1. Awareness building, Popularisation and promotion of Quality Assurance- Mentoring Higher Education Institutions
2. Building Collegium of Assessors
3. Quality Sustenance and Enhancement Initiatives:

Rs. 4.00 crore was released in the first week of January 2014.

### 1. ACTION TAKEN

*1.1 Awareness building, Popularisation and promotion of Quality Assurance- Mentoring Higher Education Institutions*

- On 07 January 2014, NAAC with Central University of Rajasthan jointly organised the workshop on Assessment and Accreditation, with nearly 200 participants.
- On 28<sup>th</sup> and 29<sup>th</sup> March 2014 - Dr.Harisingh Gour Vishwavidyalaya, Sagar, Madhya Pradesh (Central University) is organising two days workshop with an sanction of Rs.2.00 Lakhs. Nearly 175 colleges are to participate.

*1.2. Building Collegium of Assessors*

NAAC has organized two Assessors Interaction Meeting (AIM) programmes with a financial budget of Rs.19.90 lakhs.

*1.3 Quality Sustenance and Enhancement Initiatives:*

Between December 13 and Feb. 14 NAAC has supported 8 IQAC in accredited institutions with an outlay of Rs.6.00 lakhs

### 2. PROPOSED PLAN

*2.1 Awareness building, Popularisation and promotion of Quality Assurance- Mentoring Higher Education Institutions*

NAAC plans to conduct 100 seminar/ workshop throughout the country to popularise and promote quality assurance in the FY 2014-15. Venues will be selected considering geographical location and number of non-accredited HEIs.

*2.2 Building Collegium of Assessors*

NAAC plans to conduct at least six Assessors Interaction Meeting (AIM) programmes during the in the FY 2014-15 involving a total of 300 assessors.

*2.3 Quality Sustenance and Enhancement Initiatives*

- a. During the next financial year 2014-2015 NAAC plans to support 100 IQACs in accredited institutions.**
- b. NAAC plans to bring out a series of publications for promoting the concept of Assessment and Accreditation.**

**3. UTILIZATION**

1.	Awareness building, Popularisation and promotion of Quality Assurance- Mentoring Higher Education Institutions	2.00 lakhs
2.	Building Collegium of Assessors	19.90 lakhs
3.	Quality Sustenance and Enhancement Initiatives	6.00 lakhs

## Summary sheet of eligibility of States for preparatory grants

Sl. No.	State	Prerequisite Status	Total preparatory money for which the States are eligible according to equalisation formula (in crores)	First Instalment of preparatory money according to equalisation formula (in Crores)	State Share (in Crores)	Central Share (in Crores)
1	Arunachal Pradesh	Yes, fulfilled	6	3	0.3	2.7
2	Chattisgarh	Yes, fulfilled	8	4	1.4	2.6
3	Goa	Yes, fulfilled	6	3	1.05	1.95
4	Haryana	Yes, fulfilled	8	4	1.4	2.6
5	Jharkhand	Yes, fulfilled	6	3	1.05	1.95
6	Tripura	Yes, fulfilled	6	3	0.3	2.7
7	Uttarakhand	Yes, fulfilled	8	4	0.4	3.6
8	West Bengal	Yes, fulfilled	8	4	1.4	2.6
9	Mizoram	Yes, fulfilled	6	3	0.3	2.7
<b>Total</b>			<b>62</b>	<b>31</b>	<b>7.6</b>	<b>23.4</b>

### Summary sheet of Model Degree Colleges

Sl. No.	State	Name of College & identified Educationally Backward Districts	Entitlement of Central Share under RUSA (90:10 or 65:35)	50% of Central Share as First
1	Tripura	1) GDC, Santibazar, South Tripura District	₹ 10.8	₹ 5.4
		1) GDC, Kanchanpur, North Tripura district	₹ 10.8	₹ 5.4
		1) GDC, L.T.Valley, Dhalai District	₹ 10.8	₹ 5.4
2	A&N Islands	Andaman Government College, South Andaman district	₹ 7.8	₹ 3.9
<b>Grand Total</b>			<b>₹ 40.2</b>	<b>₹ 20.1</b>